

# Spectral Raytracing

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Realistic Image Synthesis - 2021

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# Outline

- Motivation
- Properties
- Rendering Equation
- Display
- Sampling
- Upsampling

# Why use Spectral Raytracing?

- Physical plausibility
- Precise
- Correct wavelength dependent IORs
- Dispersion for free
- Blackbody curves
- Energy analysis

# Why NOT use Spectral Raytracing?

- Asset pipeline requires preprocessing
- Spectral data not as common as RGB data
- Stylized rendering is difficult
- Can get complicated

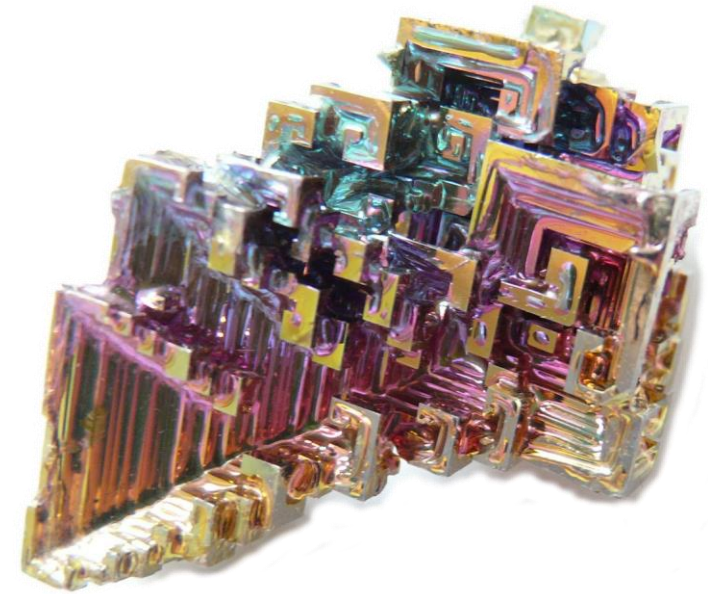
# Spectral Renderer

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- Manuka (Weta Digital) →
- Thea Render (Altair)
- Mitsuba (Tizian & Jakob et al.)
- ART (Tobler & Wilkie et al.)



# Spectral Properties



# Electromagnetic Spectrum

- $\lambda = \frac{v}{f} = \frac{c}{nf}$
- $v$  Speed of light in medium
  - Vacuum  $v = c = 299792458 \text{ m/s}$
- $n$  Refractive index of medium
  - Vacuum  $n = 1$
- $f$  Frequency
- $\lambda$  Wavelength
  - Usually given in nanometer
  - Nonlinear as wavelength depends on surrounding medium

# Visible Spectrum

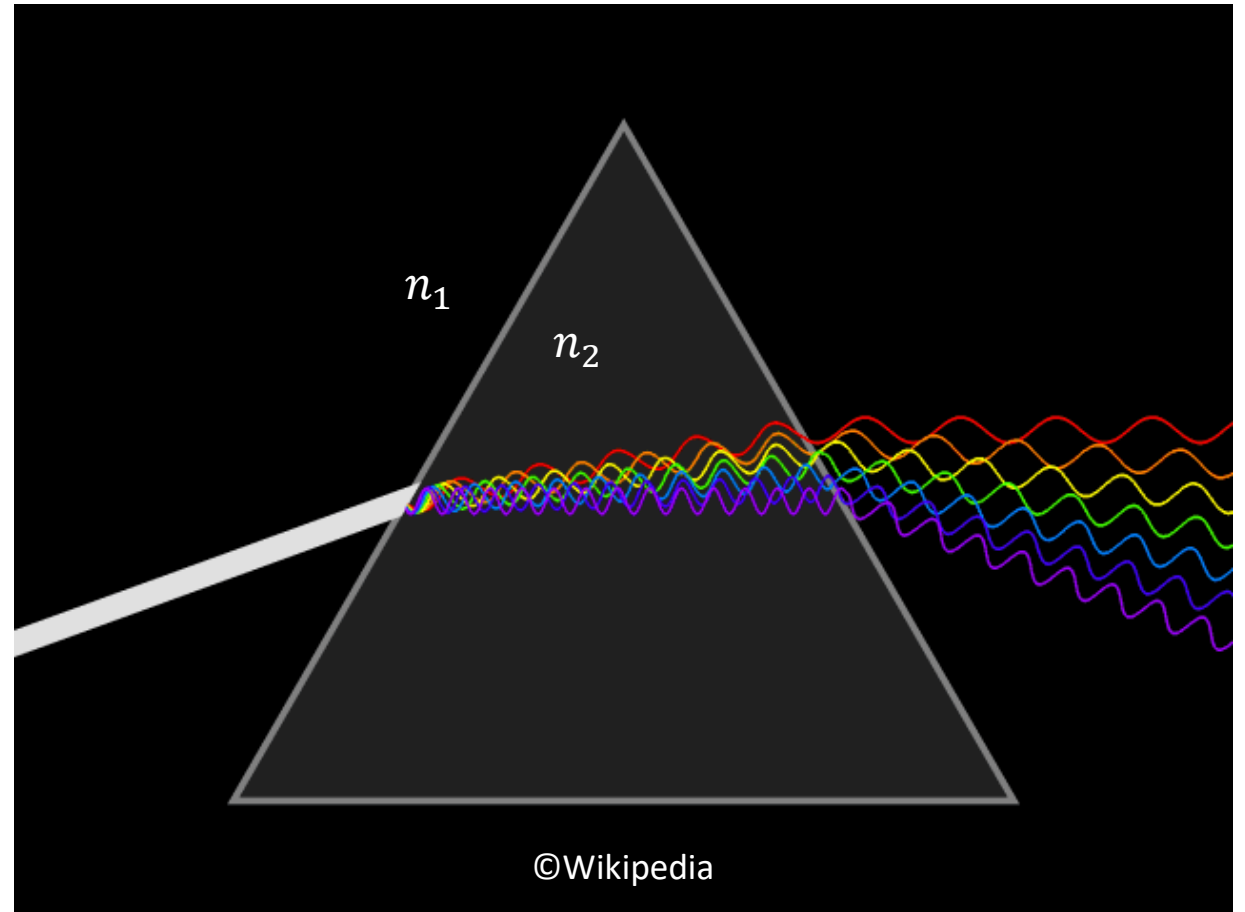
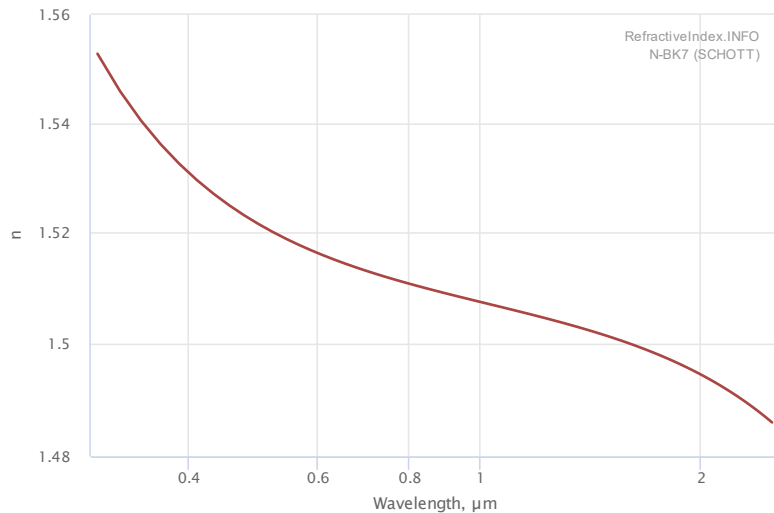
- $\sim 380nm$  –  $\sim 750nm$
- Range visible by the human perception
- Wavelength around the size of bacteria, but normally scenes are given in larger scales
- This makes it possible to neglect a lot of wave-specific effects
  - Especially  $\Rightarrow$  no interference or diffraction





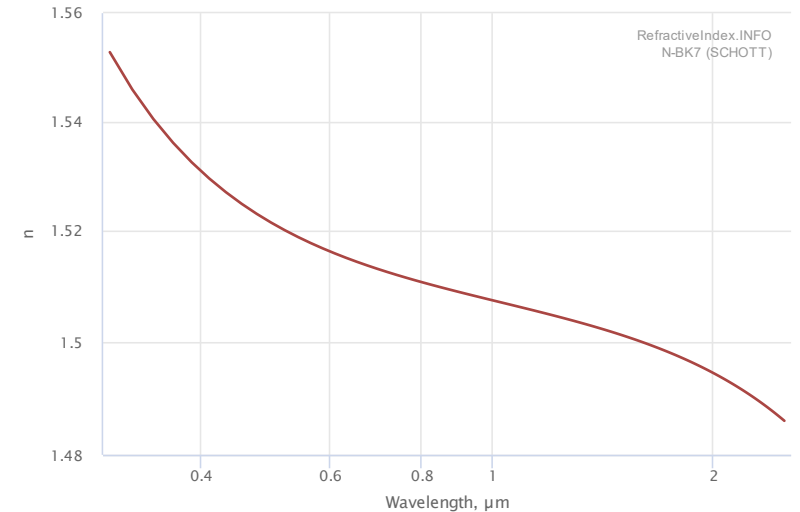
# Wavelength Dependency

- Reflection & refraction on a medium interface is wavelength dependent
- Equally true for conductive and dielectric interfaces
- Light bundle split into the composing parts is called dispersion



# Sellmeier Equation

- $n^2(\lambda) = 1 + \sum_i \frac{B_i \lambda^2}{\lambda^2 - C_i}$



- Material can be characterized with simple coefficients instead of a lookup table
- Developed 1872 by Wilhelm Sellmeier
- Alternatively, Cauchy's equation can be used

- E.g., BK7:

- $n^2(\lambda) = 1 + \frac{1.03961212 \lambda^2}{\lambda^2 - 0.00600069897} + \frac{0.231792344 \lambda^2}{\lambda^2 - 0.0200179144} + \frac{1.01046945 \lambda^2}{\lambda^2 - 103.560653}$

# Rendering Equation

Also called Light Transport Equation...

# Rendering Equation

- $L_o(x, \omega_o) = L_e(x, \omega_o) + \int_{\Omega} f(x, \omega_i, \omega_o) L_i(x, \omega_i) \cos \theta d\omega_i$ 
  - $L_o(x, \omega_o)$  - Outgoing radiance
  - $L_e(x, \omega_o)$  - Emitting term
  - $L_i(x, \omega_i)$  - Incoming radiance
  - $f(x, \omega_i, \omega_o)$  - BSDF
- Integral over hemisphere
- No wavelengths to be found

# RGB Rendering Equation

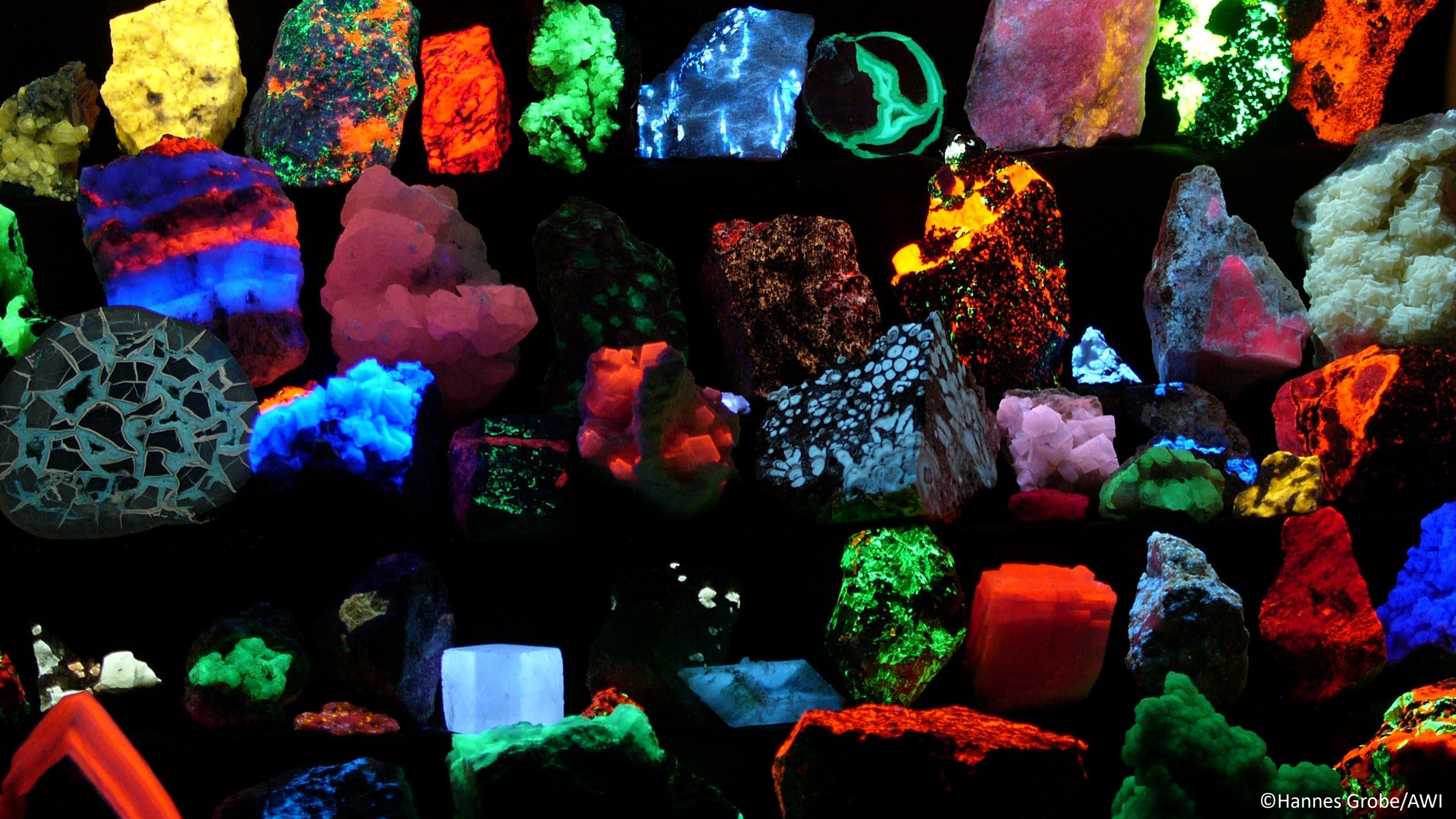
$$\bullet \begin{pmatrix} L_{O,R} \\ L_{O,G} \\ L_{O,B} \end{pmatrix} (x, \omega_o) = \begin{pmatrix} L_{e,R} \\ L_{e,G} \\ L_{e,B} \end{pmatrix} (x, \omega_o) + \int_{\Omega} \begin{pmatrix} f_R \\ f_G \\ f_B \end{pmatrix} (x, \omega_i, \omega_o) \begin{pmatrix} L_{i,R} \\ L_{i,G} \\ L_{i,B} \end{pmatrix} (x, \omega_i) \cos \theta d\omega_i$$

- Three values associated with one ray
- R,G,B  $\neq$  wavelength
- Not physical, but practical

# Spectral Rendering Equation

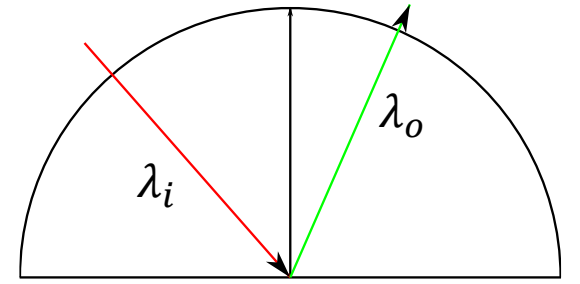
- Do not use RGB but wavelengths
- Associate each ray with a wavelength  $\lambda$
- $L_o(x, \omega_o, \lambda) = L_e(x, \omega_o, \lambda) + \int_{\Omega} f(x, \omega_i, \omega_o, \lambda) L_i(x, \omega_i, \lambda) \cos \theta d\omega_i$
- What about fluorescence?







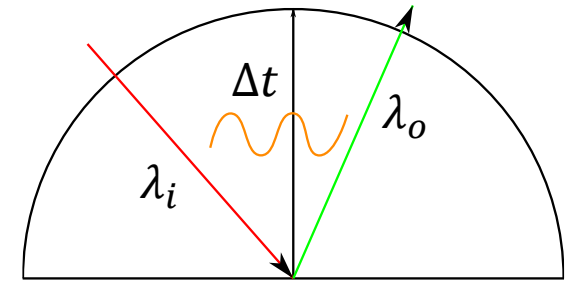
# Fluorescence



- Emission of photon with  $\lambda_o$  after absorbing photon of different wavelength  $\lambda_i$
- Rule of thumb:  $\lambda_o > \lambda_i$ 
  - Exceptions exists!
- Common case: Ultraviolet light triggers visible light
  - Render with extended visible spectrum, but display only visible part
- All photon absorption and follow-up emission takes time
  - $\Rightarrow$ Phosphorescence



# Phosphorescence



- Same as fluorescence but time is spent between emission at  $t_o$  and absorption at  $t_i$
- This is the case for every emission & absorption event!
  - BUT:  $\Delta t = t_o - t_i \ll \epsilon$  for many practical materials
- $\epsilon$  depends on the problem case, but we can argue *rendering is not quantum mechanics*, therefore set  $\epsilon$  high.
- If  $\Delta t \ll \epsilon$  we call it fluorescence, phosphorescence otherwise

# Fluorescence Rendering Equation

- Incoming wavelength  $\lambda_i$
  - Outgoing wavelength  $\lambda_o$
  - Extend integration by spectral dimension
- 
- $L_o(x, \omega_o, \lambda_o) = L_e(x, \omega_o, \lambda_o) + \int_{\lambda} \int_{\Omega} f(x, \omega_i, \omega_o, \lambda_i, \lambda_o) L_i(x, \omega_i, \lambda_i) \cos \theta d\omega_i d\lambda_i$

# Phosphorescence Render Equation

- Incoming time  $t_i$
- Outgoing time  $t_o$
- Extend by time domain

- $$L_o(x, \omega_o, \lambda_o, t_o) = L_e(x, \omega_o, \lambda_o, t_o) + \int_0^{t_o} \int_{\lambda} \int_{\Omega} f(x, \omega_i, \omega_o, \lambda_i, \lambda_o, t_i, t_o) L_i(x, \omega_i, \lambda_i, t_i) \cos \theta d\omega_i d\lambda_i dt_i$$

- Very impractical
- Many materials have a very insignificant  $\Delta t = t_0 - t_1 \ll \epsilon$ 
  - Handling fluorescent as a special case is important

Display

# Display spectral images

- Common monitors are only capable of displaying RGB
- We need a map from  $\lambda \rightarrow RGB$
- Already exists as a standard!
- After mapping to RGB, tone mapping must be applied

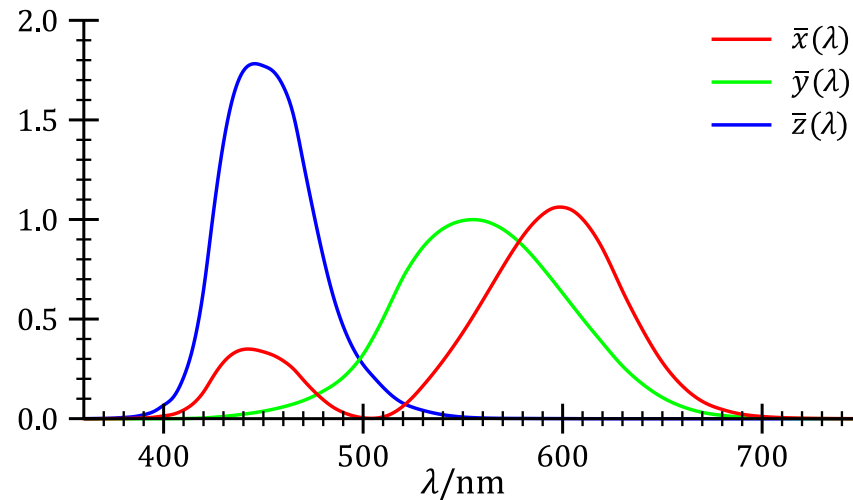
# CIE XYZ Mapping

- Based on CIE 1931 color space or its successors
- The resulting CIE XYZ triplet can be transformed to sRGB or other color spaces
- The three-color matching curves are given as measured data

$$X = \int_{\lambda} L(\lambda) \bar{x}(\lambda) d\lambda$$

$$Y = \int_{\lambda} L(\lambda) \bar{y}(\lambda) d\lambda$$

$$Z = \int_{\lambda} L(\lambda) \bar{z}(\lambda) d\lambda$$



# Energy Visualization

- Energy of a single photon in Joule:  $E_p = \frac{hc}{\lambda}$ 
  - $h$  - Planck's constant
  - $c$  - Speed of light
  - $\lambda$  - Wavelength at vacuum
- Total energy at sensor:
  - $E = \int_{\lambda} \frac{L(\lambda)hc}{\lambda} d\lambda$
- Electronvolts might be used instead of Joule



# Spectral Sampling



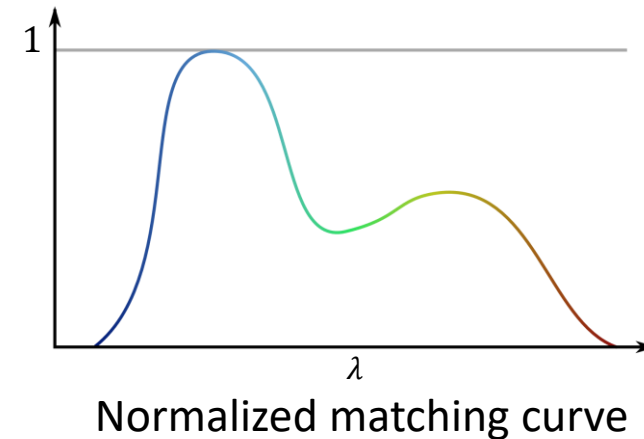
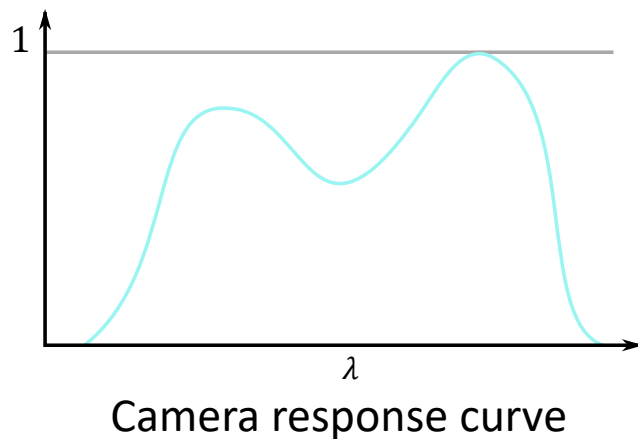


# Camera Wavelength Sampling

- Estimator:  $\langle I \rangle = \frac{1}{N} \sum_{i=1}^N \frac{L(X_i, \lambda_i)}{p(X_i, \lambda_i)}$
- Uniform sampling within the visible spectrum?
- Not the best solution as the scene consists of inhomogeneous set of colors
- Alternatively, use data known at the start of the rendering process!

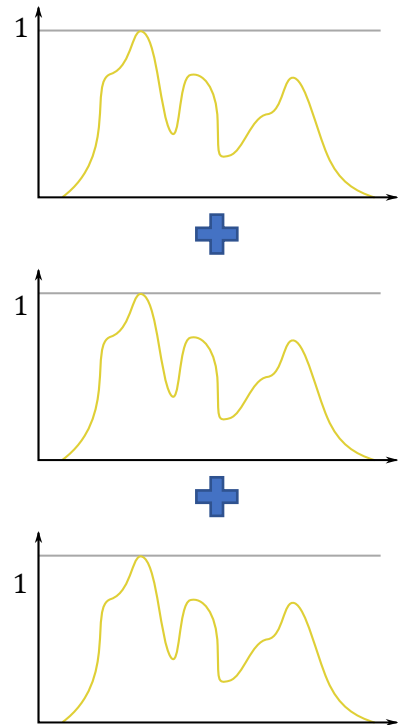
# Response - Camera Wavelength Sampling

- Construct histogram based on the camera response curve and the CIE color matching curve
- Sample the resulting histogram
- Does not adapt to scene

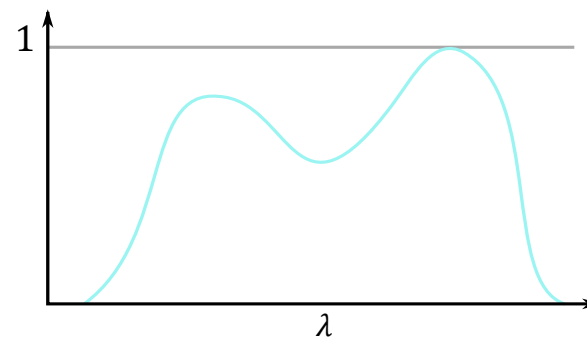


# SPD - Camera Wavelength Sampling

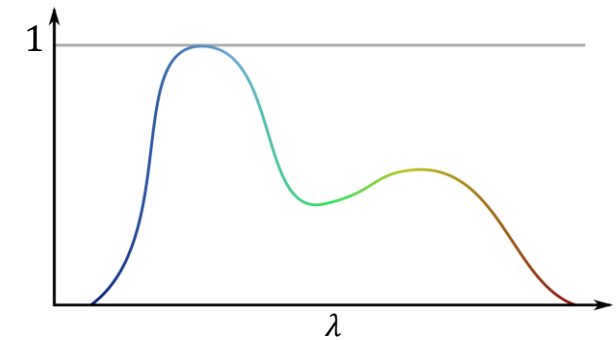
- Same as before, but take SPD (*Spectral power distribution*) of lights into account
- Will not work for scenes containing fluorescent materials
- Keeping track of the relative power is crucial. Local normalization of SPDs does not work. The SPDs must be normalized globally
- SPD can not be spatial varying, else use average



Spectral power distributions



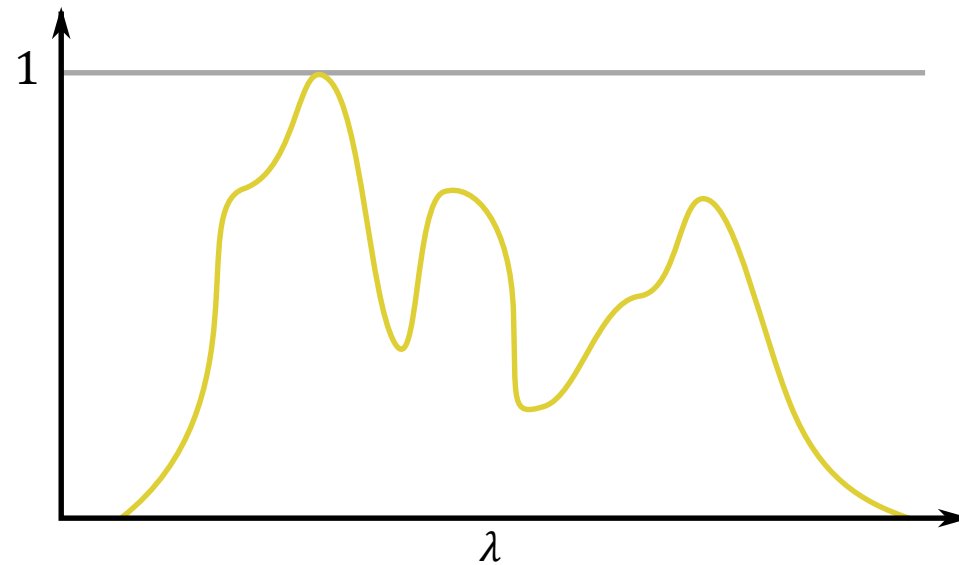
Camera response curve



Normalized matching curve

# Light Wavelength Sampling

- Sample using the respective SPD
- SPD can be spatial and temporal varying

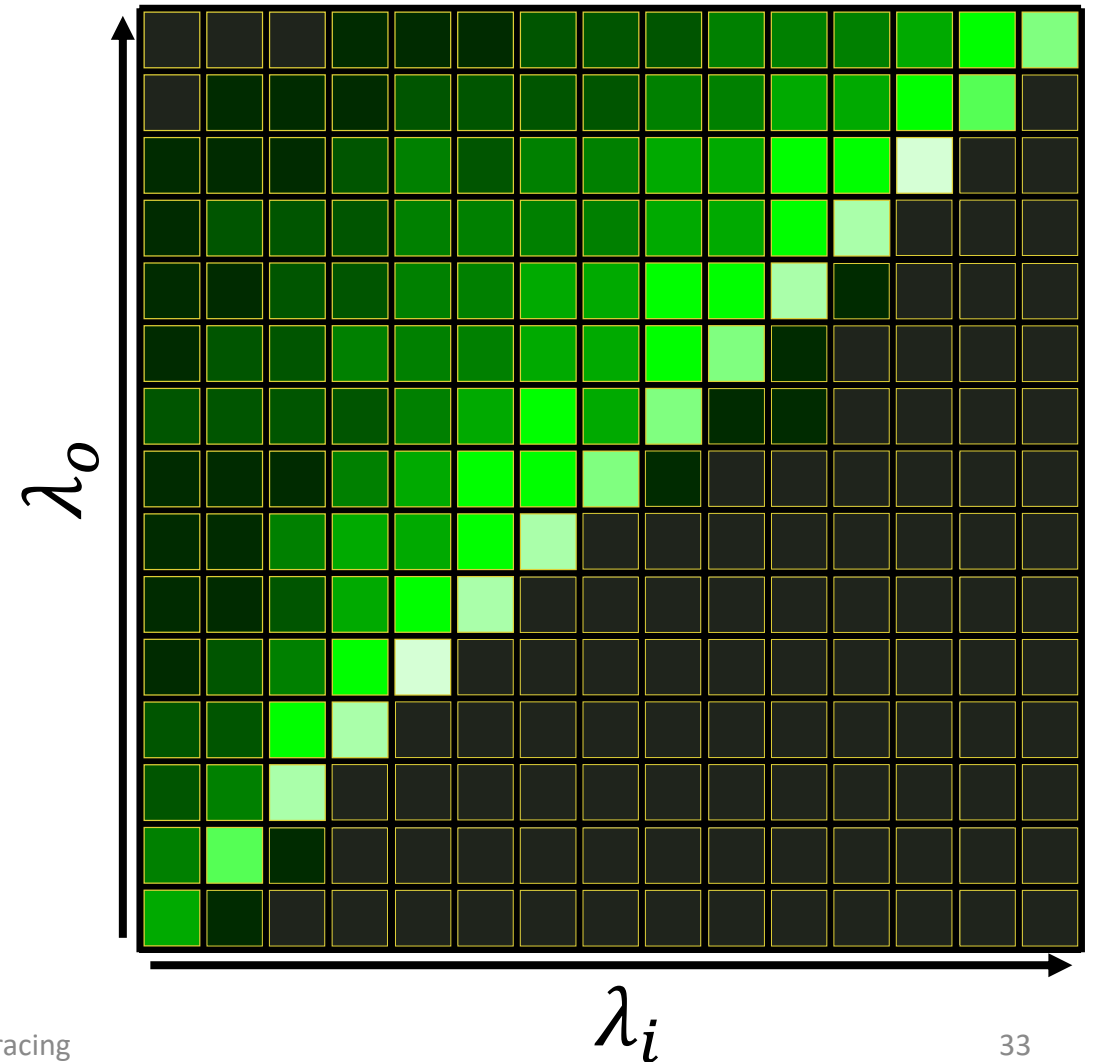


# Fluorescent Sampling

- Sample outgoing wavelength based on BSDF/Medium
- Only sample wavelengths if its fluorescent!
  - Changing wavelength can be costly, and quite a lot of materials in scenes are not fluorescent at all!
- In practice the wavelength is sampled independently from the direction  $p(\omega, \lambda) = p(\lambda)p(\omega)$ 
  - A combined approach might be more efficient, but also more complex to implement
- Common methods use a discrete re-radiation matrix

# Re-radiation Matrix

- Diagonal entries represent non-fluorescent probability
- A standard piecewise-constant 1D sampler can be used to randomly select  $\lambda_o$  based on  $\lambda_i$



# Hero Wavelength Sampling\*



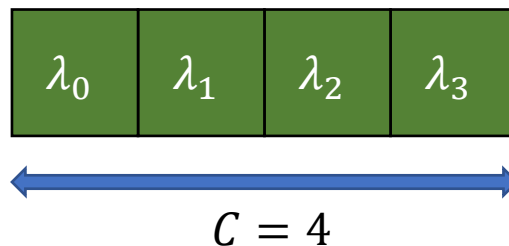
\* Wilkie, A., Nawaz, S., Droske, M., Weidlich, A. and Hanika, J. (2014), Hero Wavelength Spectral Sampling. *Computer Graphics Forum*, 33: 123-131. <https://doi.org/10.1111/cgf.12419>

# General Idea

- Associate each path a wavelength package of size  $C$

- $\langle I \rangle = \frac{1}{N} \frac{1}{C} \sum_{i=1}^N \sum_{j=1}^C \frac{L(X_i, \lambda_i^j)}{p(X_i, \lambda_i^j)}$

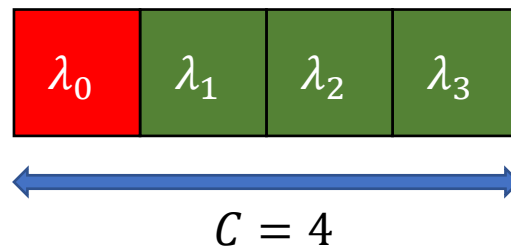
- $p(X_i, \lambda_i^j) = \sum_{k=1}^C \frac{p(\lambda_i^k) p(X_i | \lambda_i^k)}{C}$





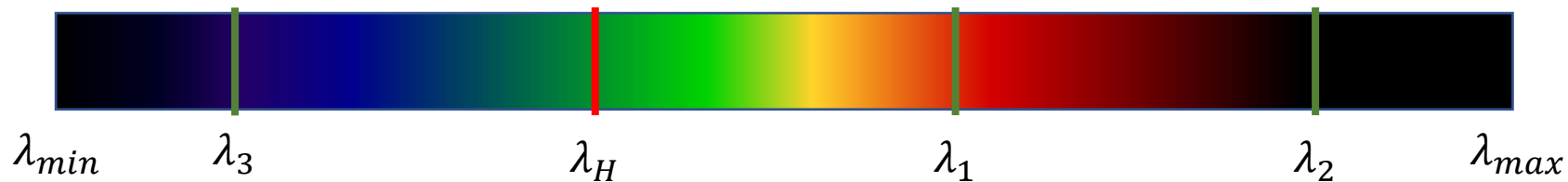
# The Hero

- One wavelength is the *hero*
- Only this *hero* wavelength is used to sample the actual path



# HWS: Sample Method

- Randomly select  $\lambda_H \sim p(\lambda_H)$
- Set other wavelengths in the current package according to:
  - $\lambda_i = \left( \lambda_H - \lambda_{min} + \frac{i}{C} (\lambda_{max} - \lambda_{min}) \right) \mathbf{mod} (\lambda_{max} - \lambda_{min}) + \lambda_{min}$
- As *shifting* is a deterministic operation we can simplify:
  - $p(X_i, \lambda_i^j) = p(\lambda_i^H) p(X_i | \lambda_i^H)$



# HWS: Multiple Importance Sampling

- Each wavelength could have been picked as the *hero* wavelength as well

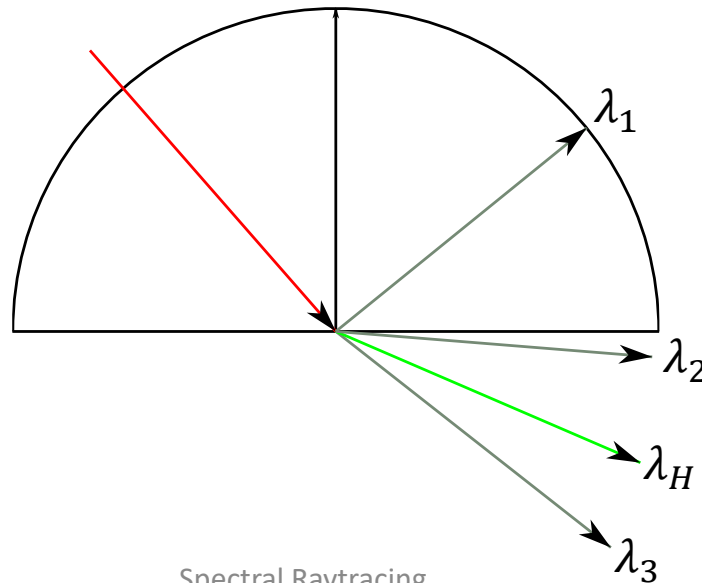
- $$\langle I \rangle = \frac{1}{N} \sum_i^N \sum_j^C w_j(X_i, \lambda_i^j) \frac{L(X_i, \lambda_i^j)}{p(X_i, \lambda_i^j)}$$

- Using balance heuristic

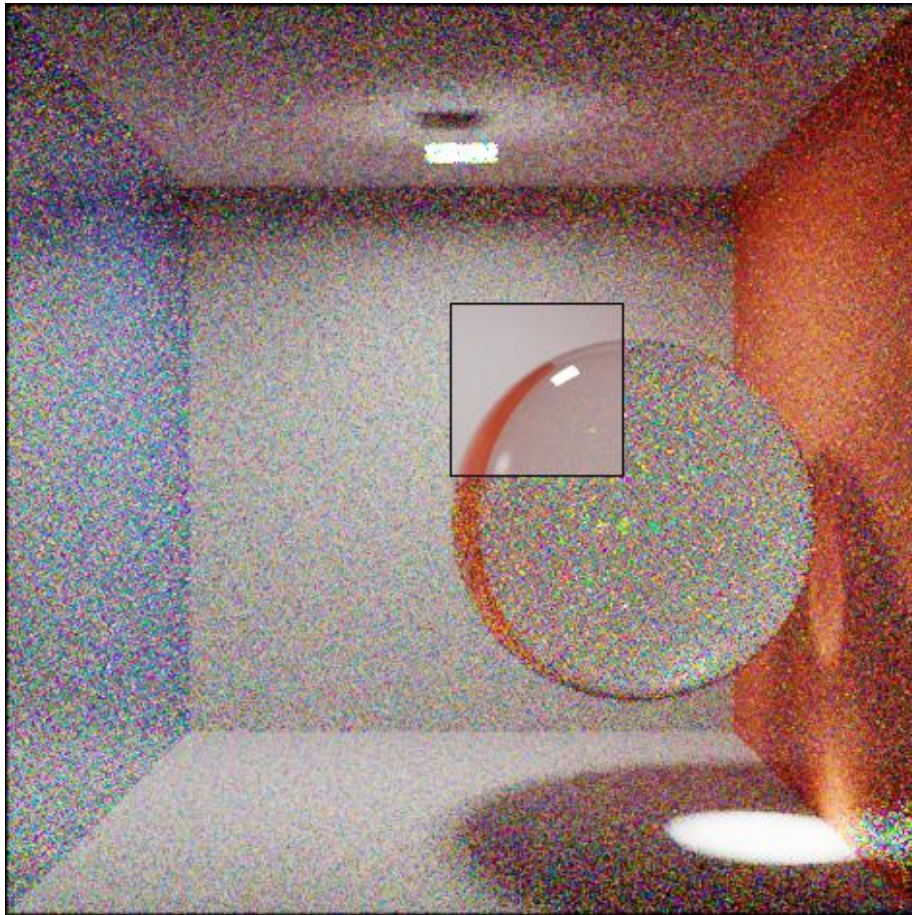
- $$w_j(X_i, \lambda_i^j) = \sum_k^C \frac{p(\lambda_i^H) p(X_i | \lambda_i^H)}{p(\lambda_i^k) p(X_i | \lambda_i^k)}$$

# HWS: Delta Response & Singularities

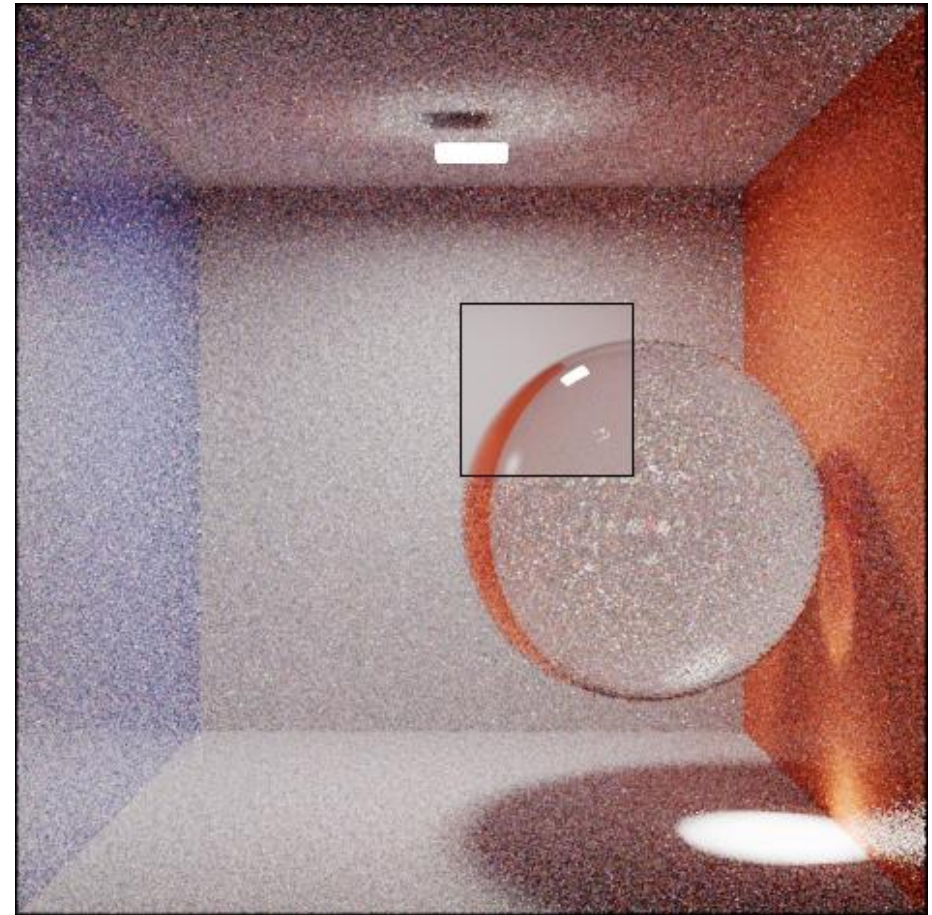
- A ray might hit a material with a delta response, e.g., perfect glass
  - The IOR is wavelength dependent and so is the outgoing direction
  - The pdf for other wavelengths would be zero
  - Solve by setting all weights except the hero wavelength to zero



# Results



Single Wavelength Sampling



Hero Wavelength Sampling

# HWS Problems

- Shifting is agnostic to wavelength probability
  - Let's pick each wavelength according to  $\lambda_i \sim p(\lambda_i)$
  - But still use only one wavelength as the *hero*
  - Continuous Multiple Importance Sampling\* allows us to combine everything together
- Fluorescent paths have wavelengths per vertex but have no impact on the actual HWS approach
  - They are integrated in the path pdf
- Bidirectional approaches do get very complicated

\* Rex West, Iliyan Georgiev, Adrien Gruson, and Toshiya Hachisuka. 2020. Continuous multiple importance sampling. *ACM Trans. Graph.* 39, 4, Article 136 (July 2020), 12 pages.  
DOI:<https://doi.org/10.1145/3386569.3392436>

# Spectral Upsampling

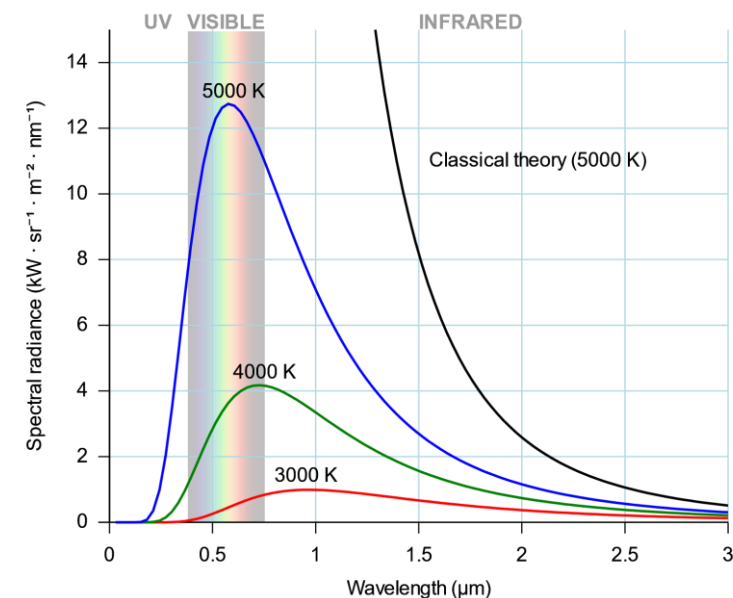
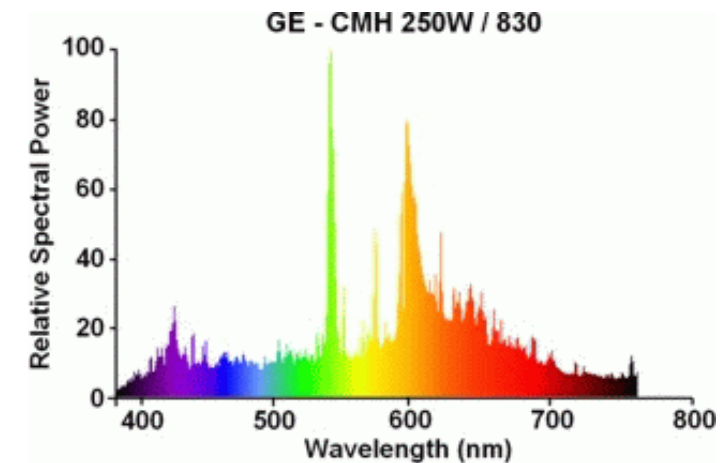
# Spectral Upsampling

- RGB -> Spectrum
- Why still use RGB?
  - Many assets are still RGB
  - Artists don't want to work with spectrums
  - Color more intuitive than curves
- Mapping from Spectral to RGB has the signature  $\mathbb{R}^\infty \rightarrow \mathbb{R}^3$ 
  - Not injective
  - Therefore, RGB to Spectral  $\mathbb{R}^3 \rightarrow \mathbb{R}^\infty$  not unique
- Use cases:
  - Emission
  - Reflection/Albedo
  - IOR ( $\rightarrow$  Sellmeier Eq.)



# Emissive Spectrum

- $\sim$  Power/Radiance at a given wavelength
- Unbounded but positive
- Common to be real measured data
  - $\Rightarrow$  Noisy
- Blackbody curves
- Standard Illuminants
  - D65, D50, F4, ...
- Colored light
  - Multiply reflective/albedo curve with emissive curve

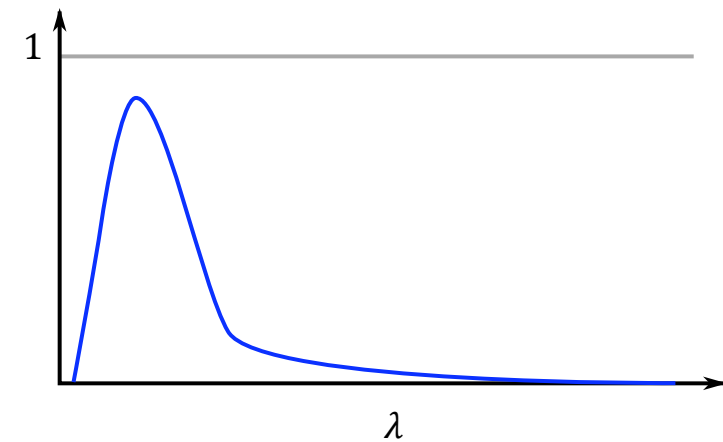


# Reflective/Albedo Spectrum

- $\sim$  Reflection/Absorption at a given wavelength
- Usually bound to  $[0, 1]$
- White would be just a constant 1
- But no unique way to define - for example - blue?



← Blue →



# Problem Definition

- We want to construct a function  $f(\lambda)$  given a RGB triplet
- The function shall be smooth
- The function shall be continuous in a given range
- RGB (1,1,1) shall be the standard illuminant of the color space
  - sRGB  $\rightarrow$  D65
- Mapping from RGB to spectral and back should be as precise as possible

# Optimization

- $\arg \min_f \left\| b - T \int_{\lambda} f(\lambda) W(\lambda) xyz(\lambda) d\lambda \right\|$ 
  - $T$  - Transform matrix to map from CIE XYZ to RGB
  - $b$  - RGB value we optimize for
  - $f(\lambda)$  - Function we optimize for
  - $W(\lambda)$  - SPD of whitepoint (e.g., sRGB  $\rightarrow$  D65)
  - $xyz(\lambda)$  - CIE 1931 color matching functions
- The conditions are given implicitly by fixing the base for  $f(\lambda)$

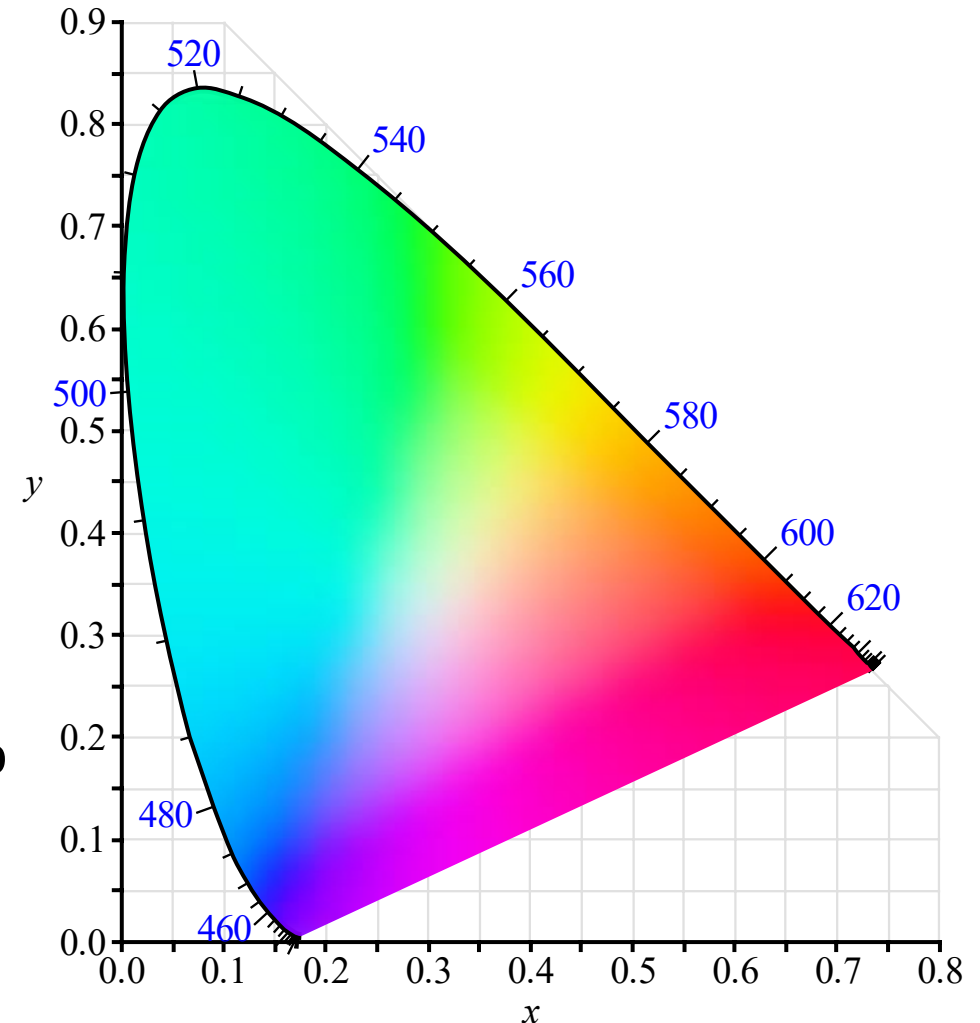
# Base function

- Jakob & Hanika\* picked:
  - $f(\lambda) = S(c_0\lambda^2 + c_1\lambda + c_2)$
  - $S(x) = \frac{1}{2} + \frac{x}{2\sqrt{1+x^2}}$  being a sigmoid function
- The solver optimizes the three coefficients  $c_0$ ,  $c_1$  and  $c_2$
- Mapping a RGB triplet returns another triplet!
  - Memory efficient
  - Fast to calculate
  - In-place replacement
- Other possible functions:
  - Gaussian mixture models
  - Polynomials
  - Moment based approach (see EGSR 2021)
  - Etc...

\* Wenzel Jakob and Johannes Hanika. 2019. A Low-Dimensional Function Space for Efficient Spectral Upsampling. In *Computer Graphics Forum (Proceedings of Eurographics)* 38(2).

# Runtime Preprocessing

- Optimization on the fly is not an option
- Pre-calculate some reference points inside the CIE *horseshoe*
  - This is done once for a color space
- Use interpolation for points in-between
- Requires closest neighbor search and interpolation for each RGB triplet
  - This can be done as a scene preprocess step
- Using only  $f(\lambda)$  in BSDF evaluation



# Problems

- Interpolation between coefficients not as same as interpolating between RGB values
  - Have a look at the Jacobian of  $f(\lambda)$
- Black is not easy to represent
  - $f(\lambda) = 0 \forall \lambda$  would be black but no possible combination of  $c_0, c_1, c_2$  exists to make it possible
  - Handle this as a special case
- Quality of mapping proportional to the size of precalculated reference points
- Mapping depends on the color space
  - You need an optimized dataset for each color space you use

Conclusion



# What did we talk about?

- Inclusion of spectra into the rendering equation
- Using and sampling of wavelengths in a raytracer
- Handling of fluorescence BSDFs
- Mapping a spectrum to RGB
- Mapping RGB to spectrum

# What did we NOT talk about?

- History
- Actual fluorescence BSDF models
- HWS + Bidirectional methods
- Spectral differentials
  - Like ray differentials, but spectral
- Stylized rendering
  - Yes, it's possible
- More recent research

# More realism?

- Add phosphorescence
- Add polarization
- Use wave characteristic effects
- Go outside the visible spectrum and *display* it!