

Computer Graphics

- Transformations -

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Overview

- **Last time**
 - Introduction to Ray Tracing
 - **Today**
 - Vector spaces and affine spaces
 - Homogeneous coordinates
 - Basic transformations in homogeneous coordinates
 - Concatenation of transformations
 - Projective transformations
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Vector Space

- **Math recap**

- 3D vector space over the real numbers

- $\mathbf{v} = \begin{pmatrix} v_1 \\ v_2 \\ v_3 \end{pmatrix} \in V^3 = \mathbb{R}^3$

- Vectors written as $n \times 1$ matrices

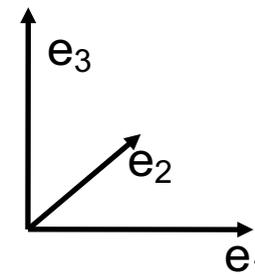
- Vectors describe directions – **not positions!**

- All vectors start from the origin of the coordinate system

- 3 linear independent vectors create a basis

- Standard basis

$$\{\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3\} = \left\{ \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \right\}$$

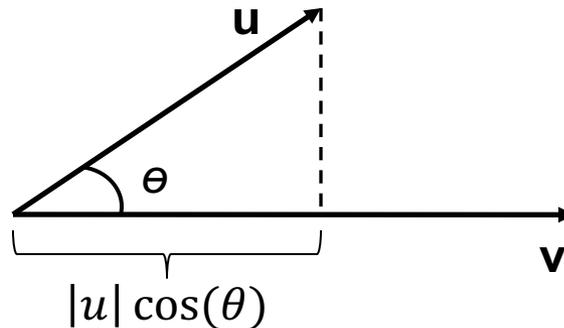


- Any vector can now be represented uniquely with coordinates v_i

- $\mathbf{v} = v_1\mathbf{e}_1 + v_2\mathbf{e}_2 + v_3\mathbf{e}_3 \quad v_1, v_2, v_3 \in \mathbb{R}$

Vector Space - Metric

- **Standard scalar product a.k.a. dot or inner product**
 - Measure lengths
 - $|v|^2 = v \cdot v = v_1^2 + v_2^2 + v_3^2$
 - Compute angles
 - $u \cdot v = |u||v| \cos(u, v)$
 - Projection of vectors onto other vectors
 - $|u| \cos(\theta) = \frac{u \cdot v}{|v|} = \frac{u \cdot v}{\sqrt{v \cdot v}}$



Vector Space - Basis

- **Orthonormal basis**

- Unit length vectors
 - $|e_1| = |e_2| = |e_3| = 1$
- Orthogonal to each other
 - $e_i \cdot e_j = \delta_{ij}$

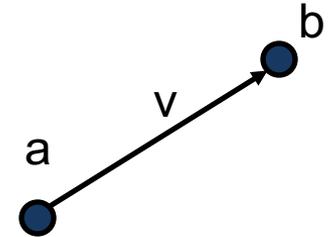
- **Handedness of the coordinate system**

- $e_1 \times e_2 = \pm e_3$
 - Positive: Right-handed
 - Negative: Left-handed
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Affine Space

- **Basic mathematical concepts**

- Denoted as A^3
 - Elements are positions (not directions)
- Defined via its associated vector space V^3
 - $a, b \in A^3 \Leftrightarrow \exists! v \in V^3: v = b - a$
 - \rightarrow : unique, \leftarrow : ambiguous
- Operations on A^3
 - Subtraction yields a vector
 - No addition of affine elements
 - Its not clear what the some of to points would mean
 - But: Addition of points and vectors:
 - $a + v = b \in A^3$
 - Distance
 - $dist(a, b) = |a - b|$



Affine Space - Basis

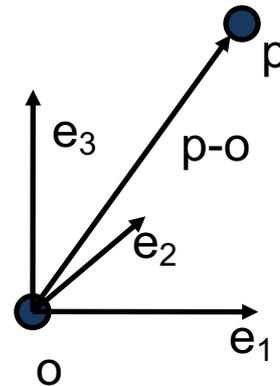
- **Affine Basis**

- Given by its origin \mathbf{o} (a point) and the basis of an associated vector space

- $\{e_1, e_2, e_3, \mathbf{o}\}: e_1, e_2, e_3 \in V^3; \mathbf{o} \in A^3$

- **Position vector of point p**

- $(p - o)$ is in V^3



Affine Coordinates

- **Affine Combination**

- Linear combination of $(n+1)$ points

- $p_0, \dots, p_n \in A^n$

- With weights forming a partition of unity

- $\alpha_0, \dots, \alpha_n \in \mathbb{R}$ with $\sum_i \alpha_i = 1$

- $p = \sum_{i=0}^n \alpha_i p_i = p_0 + \sum_{i=1}^n \alpha_i (p_i - p_0) = o + \sum_{i=1}^n \alpha_i v_i$

- **Basis**

- $(n + 1)$ points form an **affine basis** of A^n

- Iff none of these point can be expressed as an affine combination of the other points

- Any point in A^n can then be uniquely be represented as an affine combination of the affine basis $p_0, \dots, p_n \in A^n$

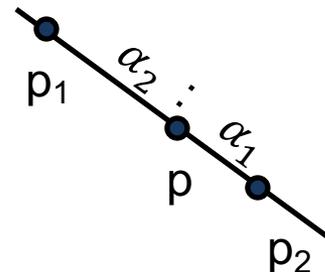
- Any vector in another basis can be expressed as a linear combination of the p_i , yielding a matrix for the basis

Affine Coordinates

- **Closely related to “Barycentric Coordinates”**

- Center of mass of $(n + 1)$ points with arbitrary masses (weights) m_i is given as

- $$p = \frac{\sum m_i p_i}{\sum m_i} = \sum \frac{m_i}{\sum m_i} p_i = \sum \alpha_i p_i$$



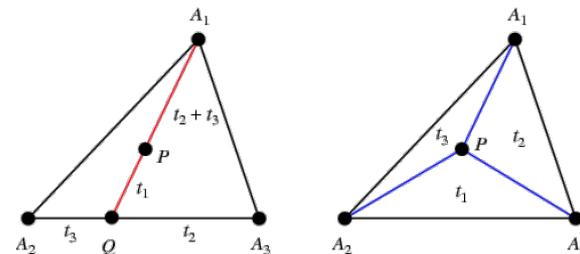
- **Convex / Affine Hull**

- If all α_i are non-negative than p is in the **convex hull** of the other points

- **In 1D**

- Point is defined by the splitting ratio $\alpha_1 : \alpha_2$

- $$p = \alpha_1 p_1 + \alpha_2 p_2 = \frac{|p-p_2|}{|p_2-p_1|} p_1 + \frac{|p-p_1|}{|p_2-p_1|} p_2$$



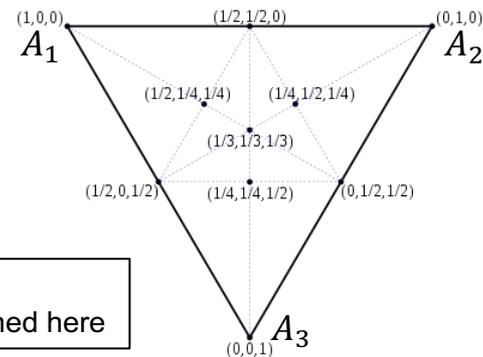
- **In 2D**

- Weights are the relative areas in $\Delta(A_1, A_2, A_3)$

- $$t_i = \alpha_i = \frac{\Delta(P, A_{(i+1)\%3}, A_{(i+2)\%3})}{\Delta(A_1, A_2, A_3)}$$

- $$p = \alpha_1 A_1 + \alpha_2 A_2 + \alpha_3 A_3$$

Note: Length and area measures need to be signed here



Affine Mappings

- **Properties**

- Affine mapping (continuous, bijective, invertible)
 - $T: A^3 \rightarrow A^3$
- Defined by two non-degenerated simplicies
 - 2D: Triangle, 3D: Tetrahedron, ...
- Invariants under affine transformations:
 - Barycentric/affine coordinates
 - Straight lines, parallelism, splitting ratios, surface/volume ratios
- Characterization via fixed points and lines
 - Given as eigenvalues and eigenvectors of the mapping

- **Representation**

- Matrix product and a translation vector:
 - $Tp = Ap + t$ with $A \in \mathbb{R}^{n \times n}$, $t \in \mathbb{R}^n$
 - Invariance of affine coordinates
 - $Tp = T(\sum \alpha_i p_i) = A(\sum \alpha_i p_i) + t = \sum \alpha_i (Ap_i) + \sum \alpha_i t = \sum \alpha_i (Tp_i)$
-

Homogeneous Coordinates for 3D

- **Homogeneous embedding of \mathbb{R}^3 into the projective 4D space $P(\mathbb{R}^4)$**

- Mapping into homogeneous space

- $\mathbb{R}^3 \ni \begin{pmatrix} x \\ y \\ z \end{pmatrix} \rightarrow \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix} \in P(\mathbb{R}^4)$

- Mapping back by dividing through fourth component

- $\begin{pmatrix} X \\ Y \\ Z \\ W \end{pmatrix} \rightarrow \begin{pmatrix} X/W \\ Y/W \\ Z/W \end{pmatrix}$

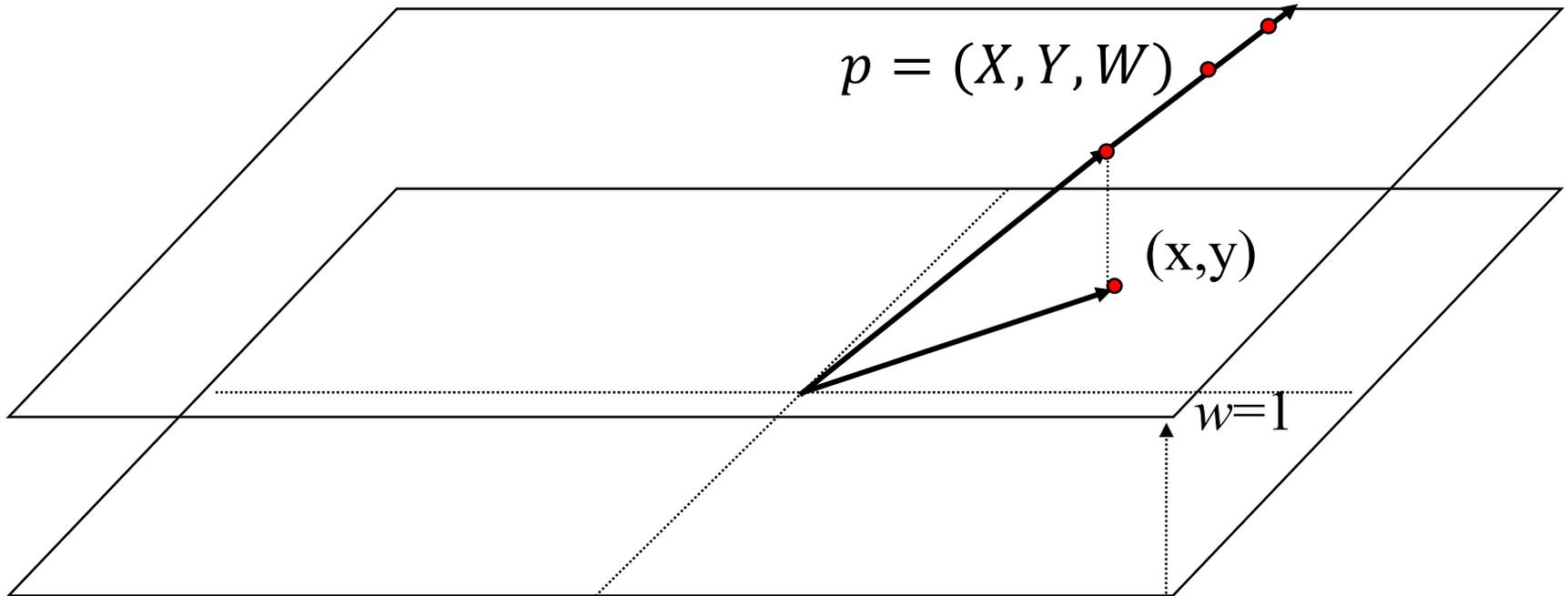
- **Consequence**

- This allows to represent affine transformations as 4x4 matrices
 - Mathematical trick
 - Convenient representation to express rotations *and* translations as matrix multiplications
 - Easy to find line through points, point-line/line-line intersections
 - Also important for projections (later)
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Point Representation in 2D

- **Point in homogeneous coordinates**

- All points along a line through the origin map to the same point in 2D



$$x = \frac{X}{W} \quad y = \frac{Y}{W}$$

Homogeneous Coordinates in 2D

- **Some tricks (works only in $P(\mathbb{R}^3)$, i.e. only in 2D)**

- Point representation

- $(X) = \begin{pmatrix} X \\ Y \\ W \end{pmatrix} \in P(\mathbb{R}^3), \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} X/W \\ Y/W \end{pmatrix}$

- Representation of a line $l \in \mathbb{R}^2$

- Dot product of l vector with point in plane must be zero:

- $l = \left\{ \begin{pmatrix} x \\ y \end{pmatrix} \mid ax + by + c \cdot 1 = 0 \right\} = \left\{ X \in P(\mathbb{R}^3) \mid X \cdot l = 0, l = \begin{pmatrix} a \\ b \\ c \end{pmatrix} \right\}$

- Line l is normal vector of the plane through origin and points on line

- Intersection of lines l and l' :

- Point on both lines \rightarrow point must be orthogonal to both line vectors

- $X \in l \cap l' \Leftrightarrow X = l \times l'$

- Line through 2 points p and p'

- Line must be orthogonal to both points

- $p \in l \wedge p' \in l \Leftrightarrow l = p \times p'$

Affine view

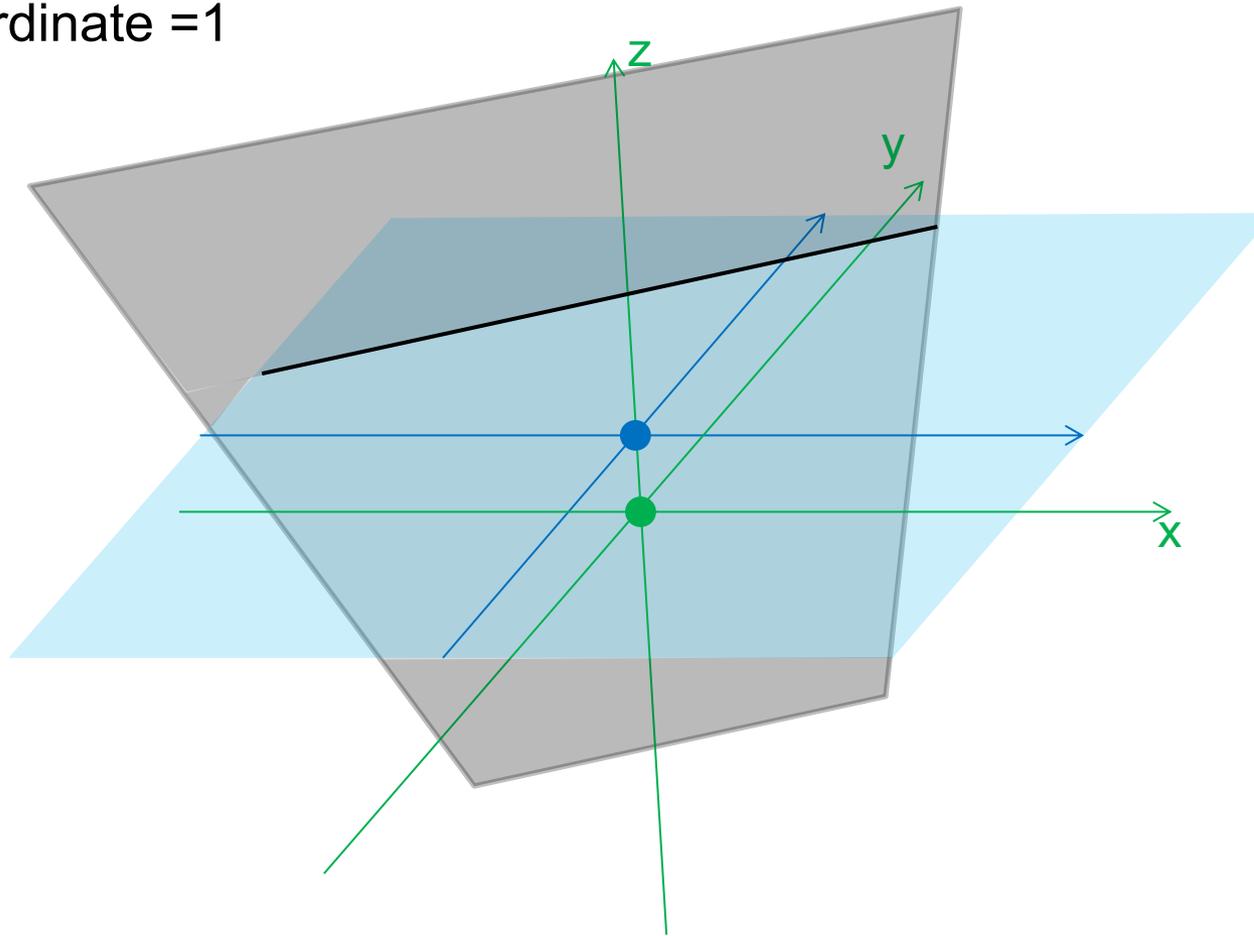
- $P^n(\mathbb{R})$ - projective space
- \mathbb{R}^n - affine view
 - typically: last coordinate = 1

$P^n(\mathbb{R})$

\mathbb{R}^n

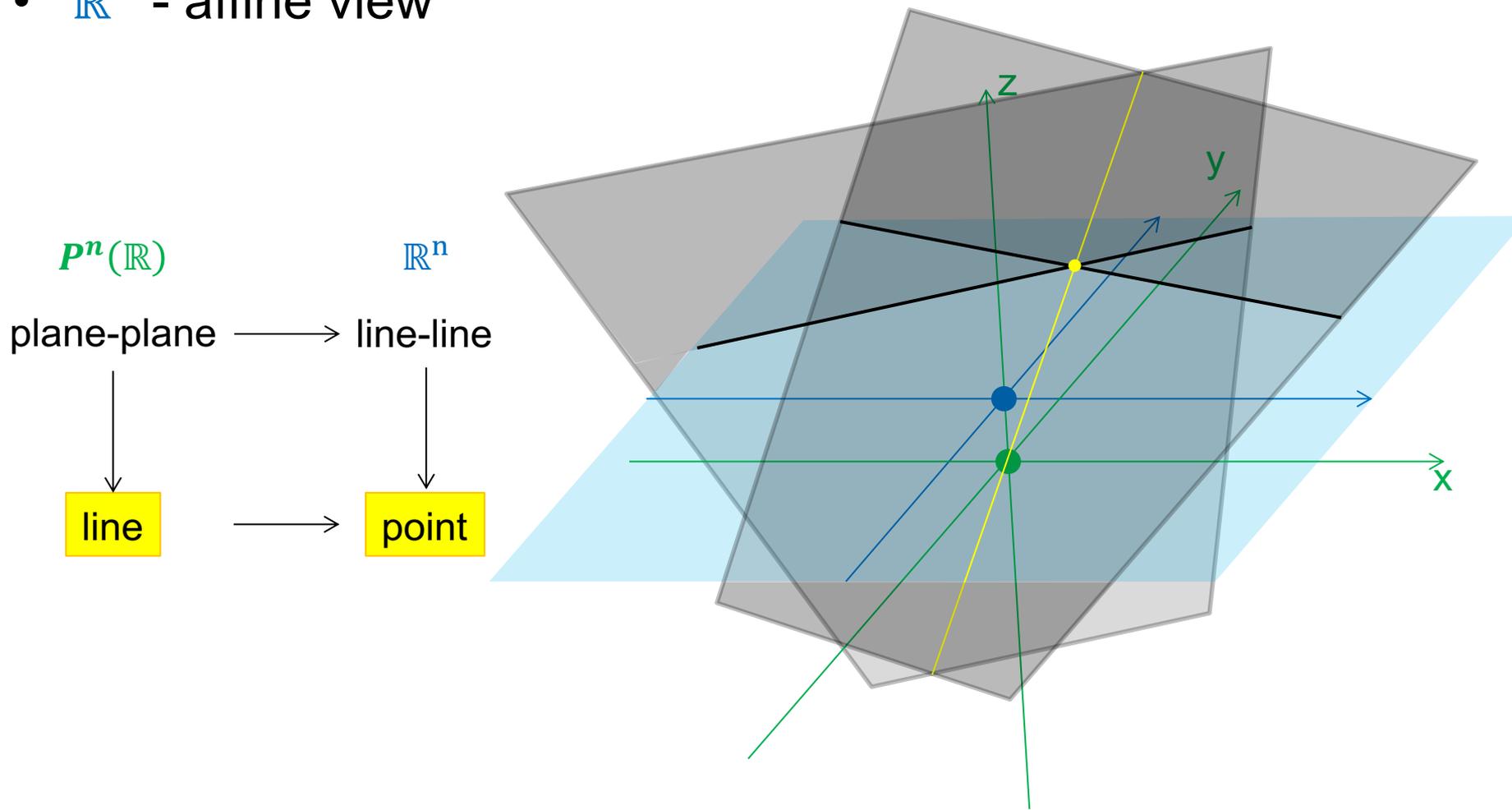
lines \longrightarrow points

planes \longrightarrow lines



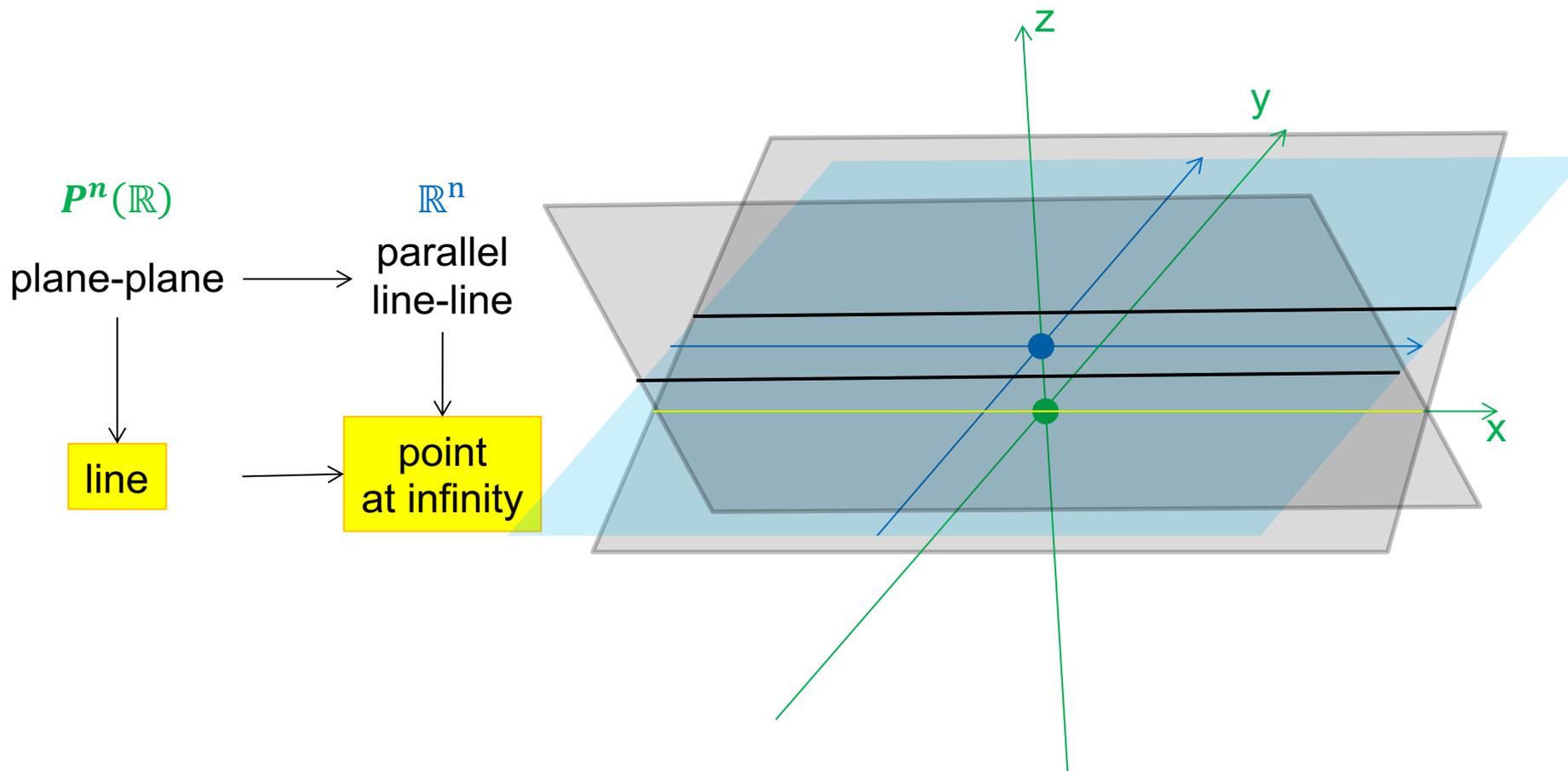
Intersections

- $P^n(\mathbb{R})$ - projective space
- \mathbb{R}^n - affine view



Intersections

- $P^n(\mathbb{R})$ - projective space
- \mathbb{R}^n - affine view



Orthonormal Matrices

- **Columns are orthogonal vectors of unit length**
 - An example
 - $\begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}$
 - Directly derived from the definition of the matrix product
 - $M^T M = 1$
 - In this case the transpose must be identical to the inverse
 - $M^{-1} := M^T$

Linear Transformation: Matrix

- **Transformations in a Vector space: Multiplication by a Matrix**

- Action of a linear transformation on a vector
 - Multiplication of matrix with column vector (e.g. in 3D)

$$p' = \begin{pmatrix} X' \\ Y' \\ Z' \end{pmatrix} = T p = \begin{pmatrix} T_{xx} & T_{xy} & T_{xz} \\ T_{yx} & T_{yy} & T_{yz} \\ T_{zx} & T_{zy} & T_{zz} \end{pmatrix} \begin{pmatrix} X \\ Y \\ Z \end{pmatrix}$$

- **Composition of transformations**

- Simple matrix multiplication (T_1 , then T_2)
 - $T_2 T_1 p = T_2(T_1 p) = (T_2 T_1) p = T p$
- Note: matrix multiplication is associative but not commutative!
 - $T_2 T_1$ is not the same as $T_1 T_2$ (in general)

Affine Transformation

- **Remember:**

- Affine map: Linear mapping and a translation

- $Tp = Ap + t$

- **For 3D: Combining it into one matrix**

- Using homogeneous 4D coordinates

- Multiplication by 4x4 matrix in $P(\mathbb{R}^4)$ space

- $$p' = \begin{pmatrix} X' \\ Y' \\ Z' \\ W' \end{pmatrix} = Tp = \begin{pmatrix} T_{xx} & T_{xy} & T_{xz} & T_{xw} \\ T_{yx} & T_{yy} & T_{yz} & T_{yw} \\ T_{zx} & T_{zy} & T_{zz} & T_{zw} \\ T_{wx} & T_{wy} & T_{wz} & T_{ww} \end{pmatrix} \begin{pmatrix} X \\ Y \\ Z \\ W \end{pmatrix}$$

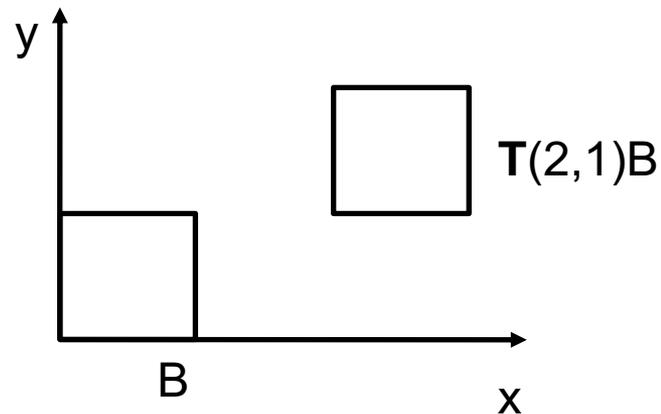
- Allows for combining (concatenating) multiple transforms into one using normal (4x4) matrix product

- **Let's go through the different transforms we need:**

Transformations: Translation

- Translation (T)

$$- T(t_x, t_y, t_z)p = \begin{pmatrix} 1 & 0 & 0 & t_x \\ 0 & 1 & 0 & t_y \\ 0 & 0 & 1 & t_z \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix} = \begin{pmatrix} x + t_x \\ y + t_y \\ z + t_z \\ 1 \end{pmatrix}$$



Translation of Vectors

- **So far: only translated points**
- **Vectors: Difference between 2 points**

$$- v = p - q = \begin{pmatrix} p_x \\ p_y \\ p_z \\ 1 \end{pmatrix} - \begin{pmatrix} q_x \\ q_y \\ q_z \\ 1 \end{pmatrix} = \begin{pmatrix} p_x - q_x \\ p_y - q_y \\ p_z - q_z \\ 0 \end{pmatrix}$$

– Fourth component is zero

- **Consequently: Translations do not affect vectors!**

$$\bullet T(t_x, t_y, t_z)v = \begin{pmatrix} 1 & 0 & 0 & t_x \\ 0 & 1 & 0 & t_y \\ 0 & 0 & 1 & t_z \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} v_x \\ v_y \\ v_z \\ 0 \end{pmatrix} = \begin{pmatrix} v_x \\ v_y \\ v_z \\ 0 \end{pmatrix}$$

Translation: Properties

- **Properties**

- Identity

- $T(0,0,0) = \mathbf{1}$ (Identity Matrix)

- Commutative (special case)

- $T(t_x, t_y, t_z)T(t'_x, t'_y, t'_z) = T(t'_x, t'_y, t'_z)T(t_x, t_y, t_z) = T(t_x + t'_x, t_y + t'_y, t_z + t'_z)$

- Inverse

- $T^{-1}(t_x, t_y, t_z) = T(-t_x, -t_y, -t_z)$

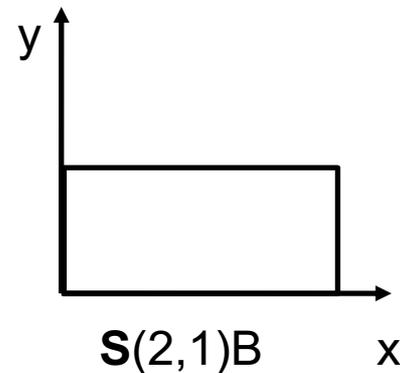
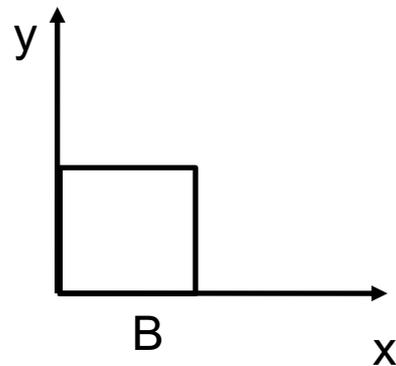
Basic Transformations (2)

- **Scaling (S)**

- $\mathbf{S}(s_x, s_y, s_z) = \begin{pmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$

- Note: $s_x, s_y, s_z \geq 0$ (otherwise see mirror transformation)

- Uniform Scaling s : $s = s_x = s_y = s_z$



Basic Transformations

- **Reflection/Mirror Transformation (M)**

- Reflection at plane ($x=0$)

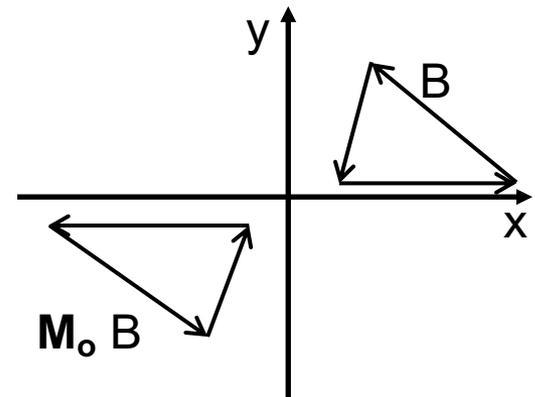
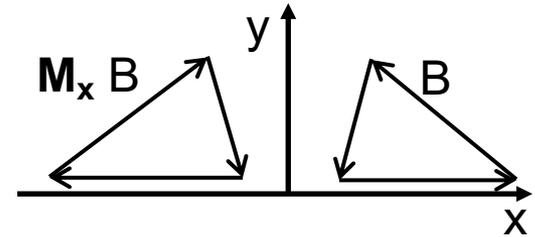
- $$M_x = \begin{pmatrix} -1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix} = \begin{pmatrix} -x \\ y \\ z \\ 1 \end{pmatrix}$$

- Analogously for other axis
- Note: changes orientation
 - Right-handed becomes left-handed and v.v.
 - Indicated by $\det(M_i) < 0$

- Reflection at origin

- $$M_o = \begin{pmatrix} -1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix} = \begin{pmatrix} -x \\ -y \\ -z \\ 1 \end{pmatrix}$$

- Note: changes orientation in 3D
 - But not in 2D (!!!): Just two scale factors
 - Each scale factor reverses orientation once



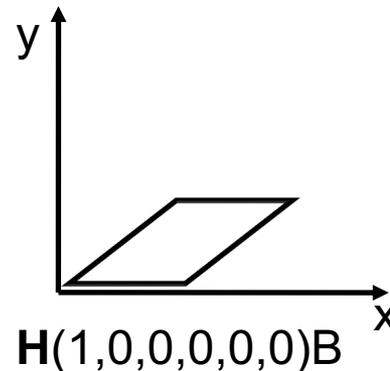
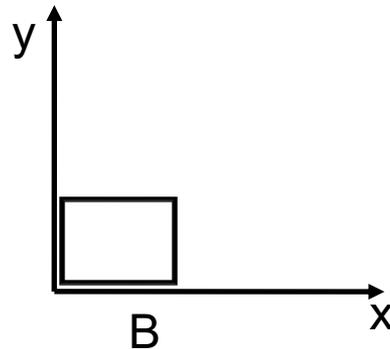
Basic Transformations (4)

- **Shear (H)**

- $\mathbf{H}(h_{xy}, h_{xz}, h_{yz}, h_{yx}, h_{zx}, h_{zy}) =$
$$\begin{pmatrix} 1 & h_{xy} & h_{xz} & 0 \\ h_{yx} & 1 & h_{yz} & 0 \\ h_{zx} & h_{zy} & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix} = \begin{pmatrix} x + h_{xy}y + h_{xz}z \\ y + h_{yx}x + h_{yz}z \\ z + h_{zx}x + h_{zy}y \\ 1 \end{pmatrix}$$

- Determinant is 1

- Volume preserving (as volume is just shifted in some direction)



Rotation in 2D

- **In 2D: Rotation around origin**

- Representation in spherical coordinates

- $x = r \cos \theta \rightarrow x' = r \cos(\theta + \phi)$

- $y = r \sin \theta \rightarrow y' = r \sin(\theta + \phi)$

- Well know property

- $\cos(\theta + \phi) = \cos \theta \cos \phi - \sin \theta \sin \phi$

- $\sin(\theta + \phi) = \cos \theta \sin \phi + \sin \theta \cos \phi$

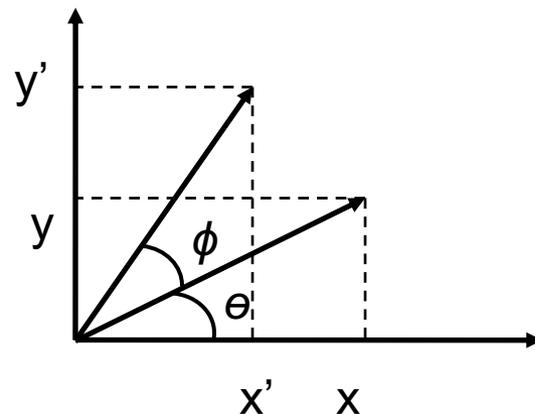
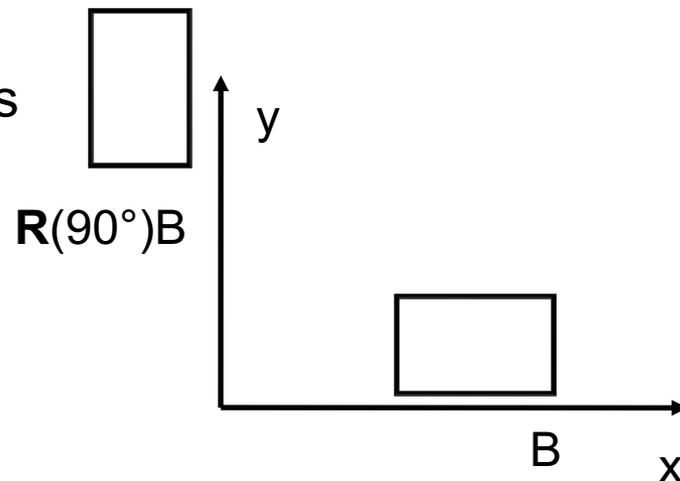
- Gives

- $x' = (r \cos \theta) \cos \phi - (r \sin \theta) \sin \phi = x \cos \phi - y \sin \phi$

- $y' = (r \cos \theta) \sin \phi + (r \sin \theta) \cos \phi = x \sin \phi + y \cos \phi$

- Or in matrix form

- $R_z(\phi) = \begin{pmatrix} \cos \phi & -\sin \phi \\ \sin \phi & \cos \phi \end{pmatrix}$



Rotation in 3D

- **Rotation around major axes**

- $R_x(\phi) = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \phi & -\sin \phi & 0 \\ 0 & \sin \phi & \cos \phi & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$

- $R_y(\phi) = \begin{pmatrix} \cos \phi & 0 & \sin \phi & 0 \\ 0 & 1 & 0 & 0 \\ -\sin \phi & 0 & \cos \phi & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$

- $R_z(\phi) = \begin{pmatrix} \cos \phi & -\sin \phi & 0 & 0 \\ \sin \phi & \cos \phi & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$

- 2D rotation around the respective axis

- Assumes right-handed system, mathematically positive direction

- Be aware of change in sign on sines in R_y

- Due to relative orientation of other axis
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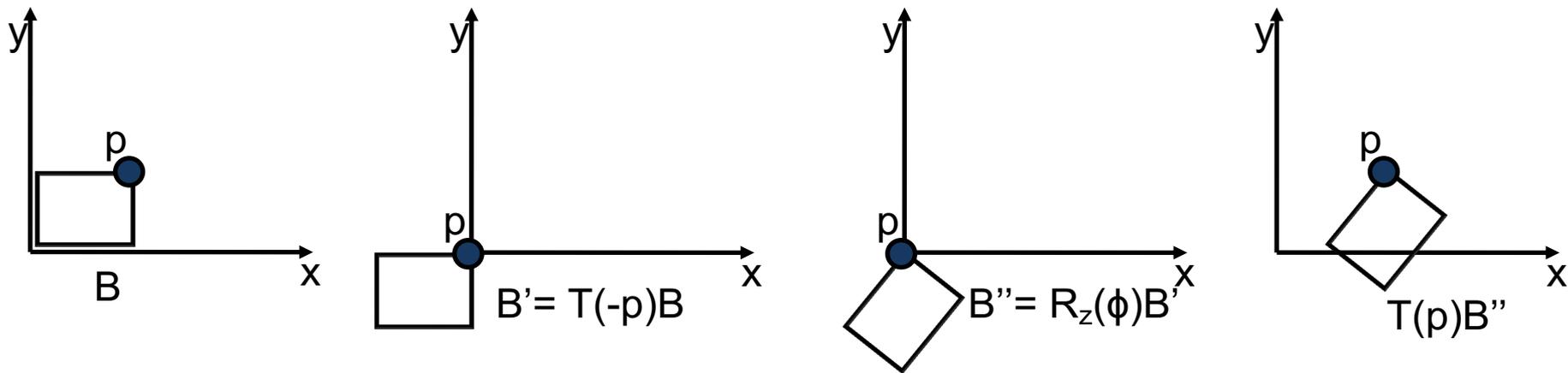
Rotation in 3D (2)

- **Properties**

- $R_a(0) = \mathbf{1}$
 - $R_a(\theta)R_a(\phi) = R_a(\theta + \phi) = R_a(\phi)R_a(\theta)$
 - Rotations around the same axis are commutative (special case)
 - In general: Not commutative
 - $R_a(\theta)R_b(\phi) \neq R_b(\phi)R_a(\theta)$
 - Order **does** matter for rotations around different axes
 - $R_a^{-1}(\theta) = R_a(-\theta) = R_a^T(\theta)$
 - Orthonormal matrix: Inverse is equal to the transpose
 - Determinant is 1
 - Volume preserving
-

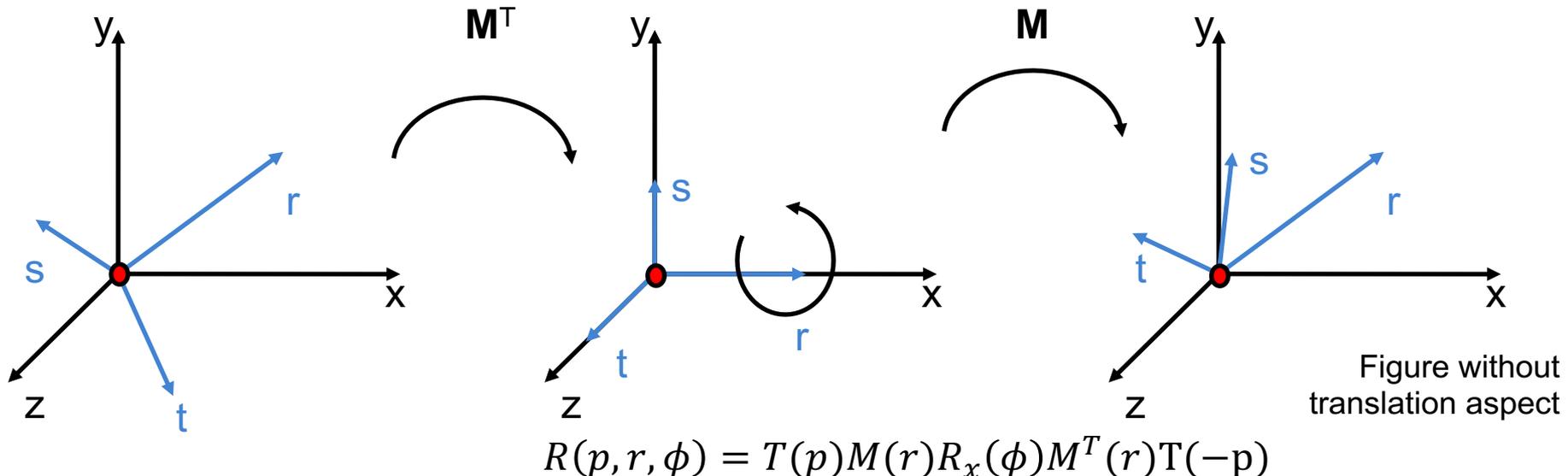
Rotation Around Point

- **Rotate object around a point p and axis a**
 - Translate p to origin, rotate around axis a , translate back to p
 - $R_a(p, \theta) = T(p)R_a(\phi)T(-p)$



Rotation Around Some Axis

- Rotate around a given point p and vector r ($|r|=1$)
 - Translate so that p is in the origin
 - Transform with rotation $R=M^T$
 - M given by orthonormal basis (r,s,t) such that r becomes the x axis
 - Requires construction of a orthonormal basis (r,s,t) , see next slide
 - Rotate around x axis
 - Transform back with R^{-1}
 - Translate back to point p



Rotation Around Some Axis

- **Compute orthonormal basis given a vector r**

- Using a numerically stable method
- Construct s such that its normal to r (verify with dot product)
 - Use fact that in 2D, orthogonal vector to (x,y) is $(-y, x)$
 - Do this in coordinate plane that has largest components

- $s' = \begin{cases} (0, -r_z, r_y), & \text{if } x = \operatorname{argmin}_{x,y,z} \{|r_x|, |r_y|, |r_z|\} \\ (-r_z, 0, r_x), & \text{if } y = \operatorname{argmin}_{x,y,z} \{|r_x|, |r_y|, |r_z|\} \\ (-r_y, r_x, 0), & \text{if } z = \operatorname{argmin}_{x,y,z} \{|r_x|, |r_y|, |r_z|\} \end{cases}$

- Normalize

- $s = s'/|s'|$

- Compute t as cross product

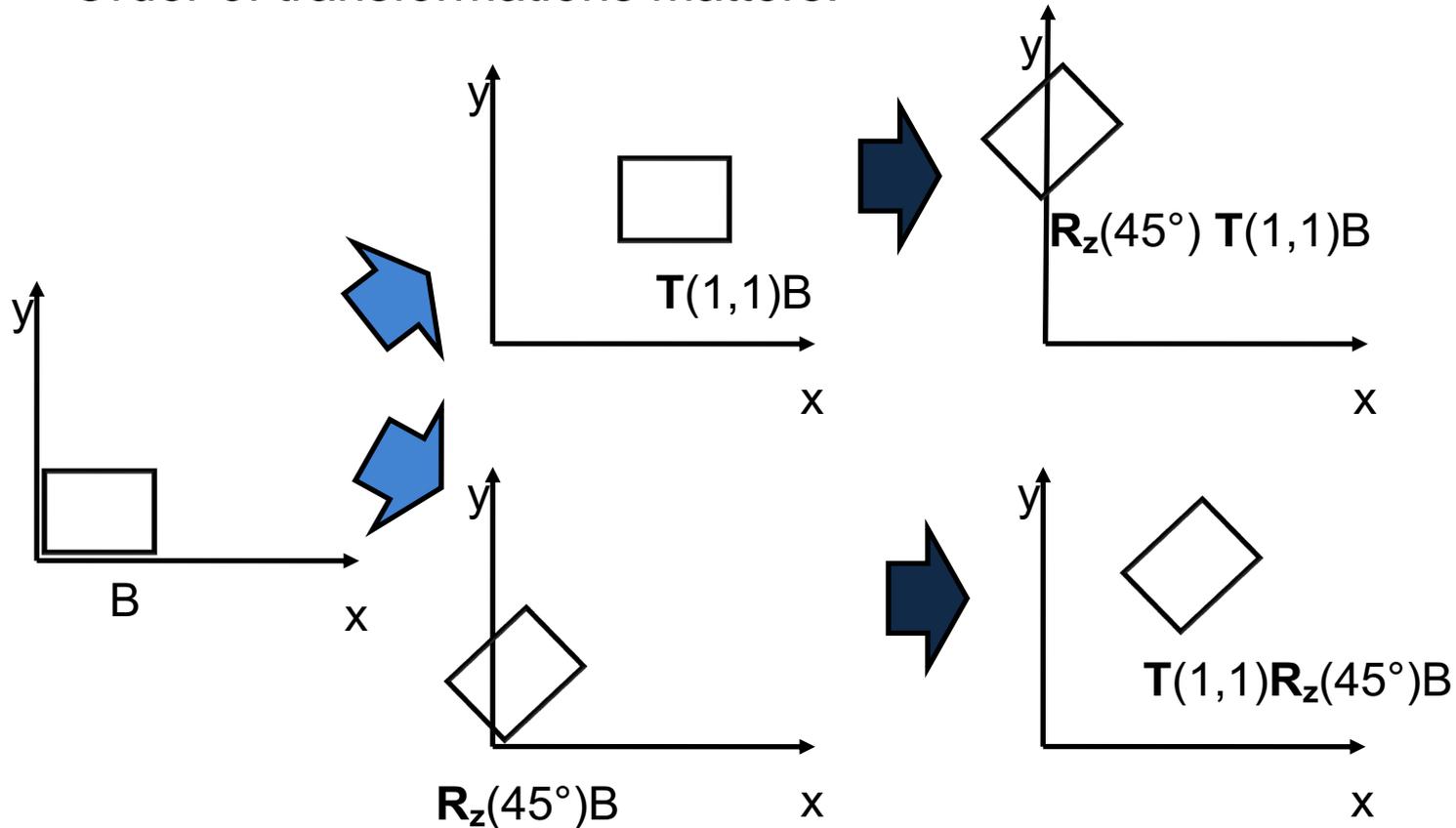
- $t = r \times s$

- r,s,t forms orthonormal basis, thus M transforms into this basis

- $M(r) = \begin{pmatrix} r_x & s_x & t_x & 0 \\ r_y & s_y & t_y & 0 \\ r_z & s_z & t_z & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$, inverse is given as its transpose: $M^{-1} = M^T$

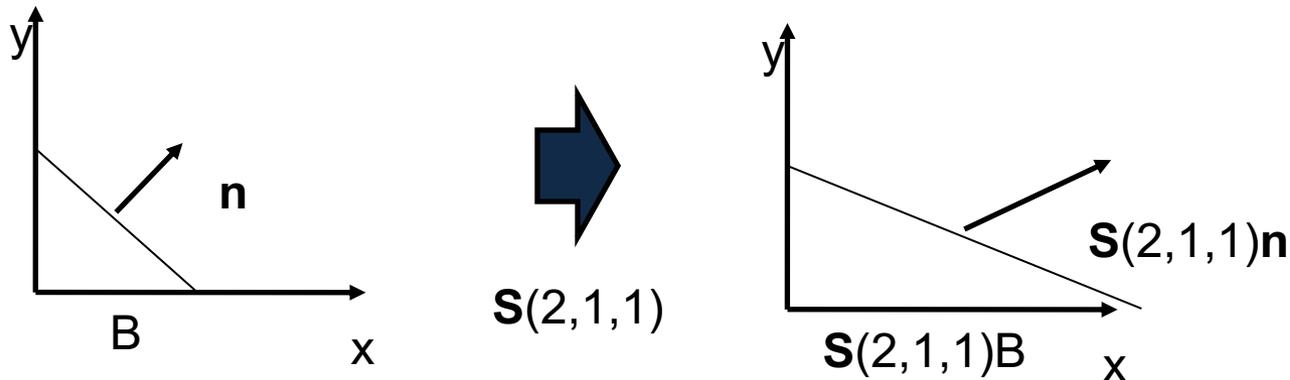
Concatenation of Transforms

- **Multiply matrices to concatenate**
 - Matrix-matrix multiplication is not commutative (in general)
 - Order of transformations matters!



Transformations

- **Line**
 - Transform end points
- **Plane**
 - Transform three points
- **Vector**
 - Translations to not act on vectors
- **Normal vectors**
 - Problem: e.g. with non-uniform scaling



Transforming Normals

- **Dot product as matrix multiplication**

- $n \cdot v = n^T v = (n_x \quad n_y \quad n_z) \begin{pmatrix} v_x \\ v_y \\ v_z \end{pmatrix}$

- **Normal N on a plane**

- For any vector v in the plane: $n^T v = 0$
 - Find transformation M' for normal vector, such that :

- $(M' n)^T (M v) = 0$ $M'^T M M^{-1} = 1 M^{-1}$

- $n^T (M'^T M) v = 0$ and thus $M'^T = M^{-1}$

- $M'^T M = 1$ $M' = M^{-1T}$

- M' is the adjoint of M
 - Exists even for non-invertible matrices
 - For M invertible and orthogonal $M' = (M^{-1})^T = (M^T)^T = M$

- **Remember:**

- Normals are transformed by the transpose of the inverse of the 4x4 transformation matrix of points and vectors
-

USING TRANSFORMATIONS

Coordinate Systems

- **Local (object) coordinate system (3D)**
 - Object vertex positions
 - Can be **hierarchically nested** in each other (scene graph, transf. stack)
- **World (global) coordinate system (3D)**
 - Scene composition and object placement
 - Rigid objects: constant translation, rotation per object, (scaling)
 - Animated objects: time-varying transformation in world-space
 - Illumination can be computed in this space

Hierarchical Coordinate Systems

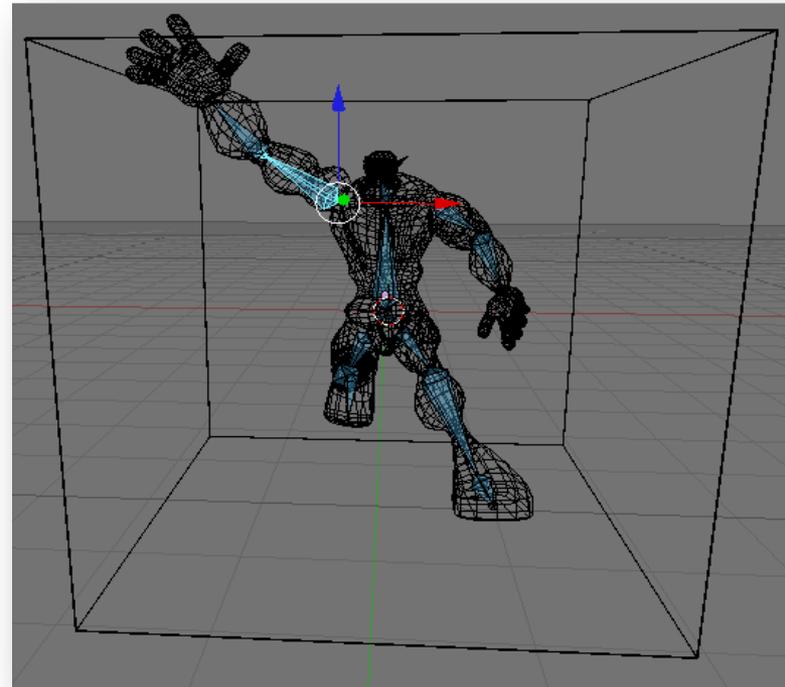
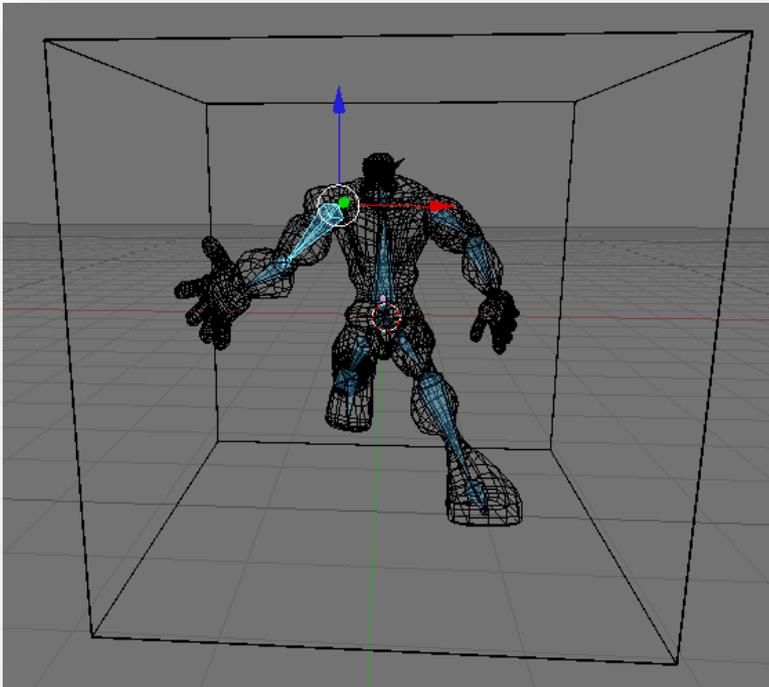
- **Hierarchy of transformations**

```
T_root           //Position of the character in world
  T_ShoulderR    //Right shoulder position
    T_ShoulderRJoint //Shoulder rotation <== User
      T_UpperArmR  //Elbow position
        T_ElbowRJoint //Elbow rotation <== User
          T_LowerArmR //Wrist position
            T_WristRJoint //Wrist rotation <== User
              ...      //Hand and fingers...
  T_ShoulderL    //Left shoulder position
    T_ShoulderLJoint //Shoulder rotation <== User
      T_UpperArmL  //Elbow position
        T_ElbowLJoint //Elbow rotation <== User
          T_LowerArmL //Wrist position
            ...
```

Hierarchical Coordinate Systems

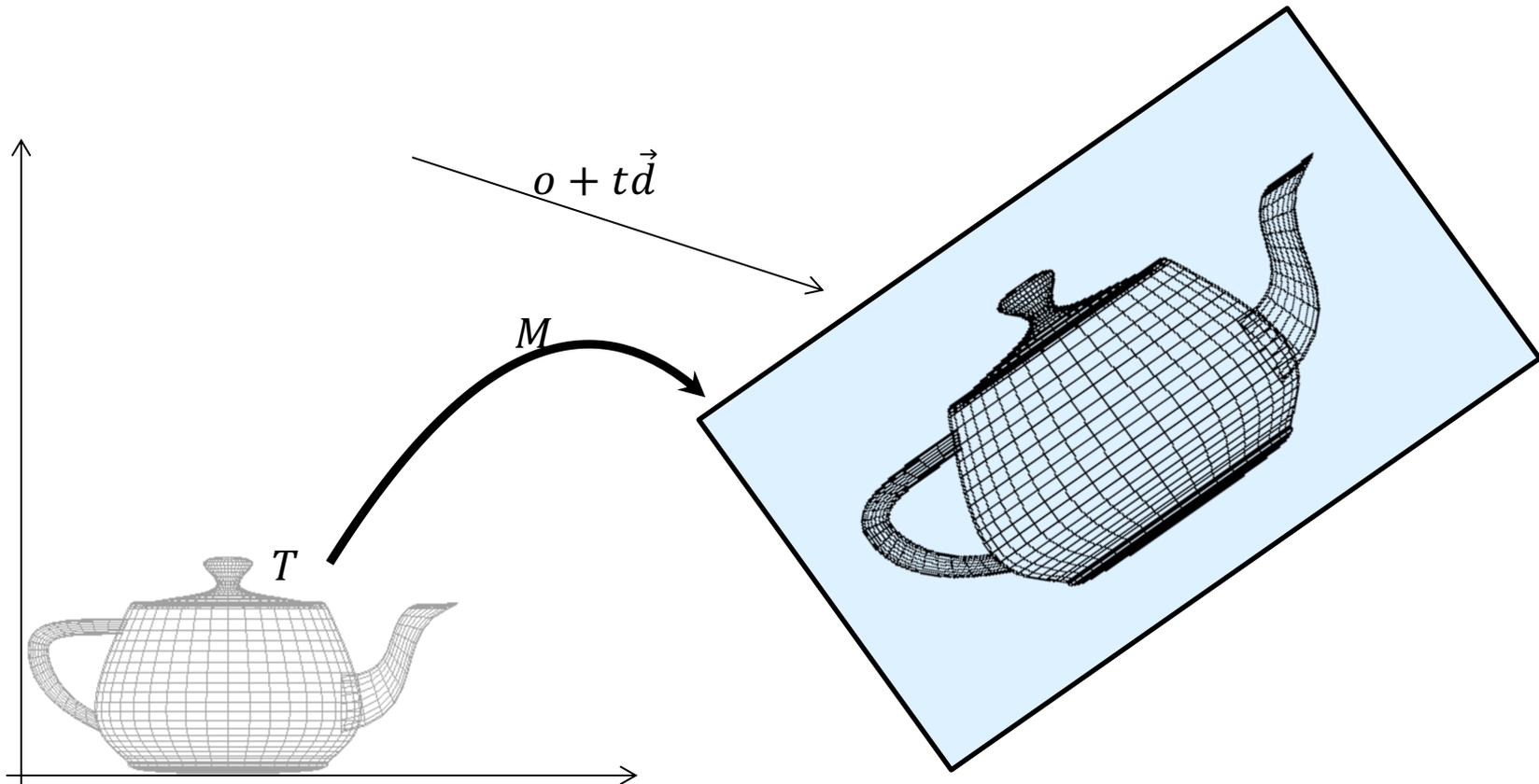
- **Used in Scene Graphs**

- Group objects hierarchically
- Local coordinate system is relative to parent coordinate system
- Apply transformation to the parent to change the whole sub-tree (or sub-graph)



Ray-tracing Transformed Objects

- Ray (world coordinates)
- T – set of triangles (local coordinates)
- M – transformation matrix (local-to-world)



Ray-tracing Transformed Objects

- Option 1: transform the triangles

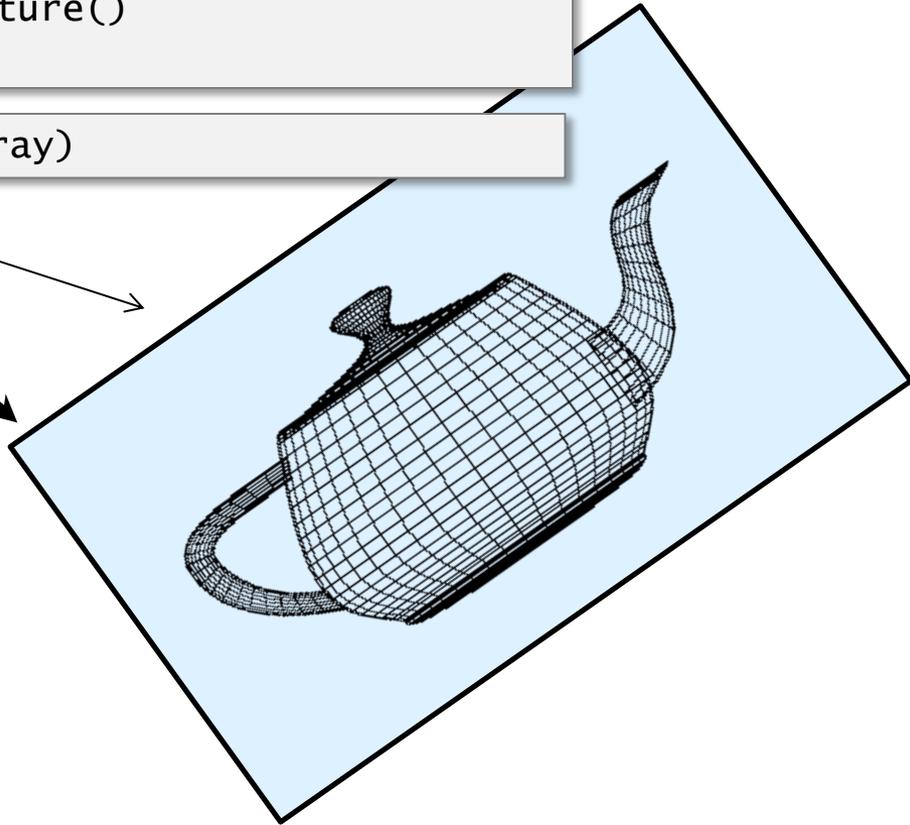
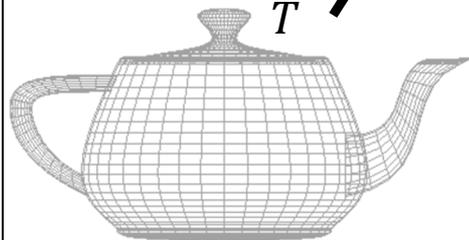
```
def transform(T,M)
  out = {}
  foreach p in T
    q = M*p
    out.insert(q)
  out.rebuildIndexStructure()
  return out
```

```
Transform(T,M).intersect(ray)
```

$o + td$

M

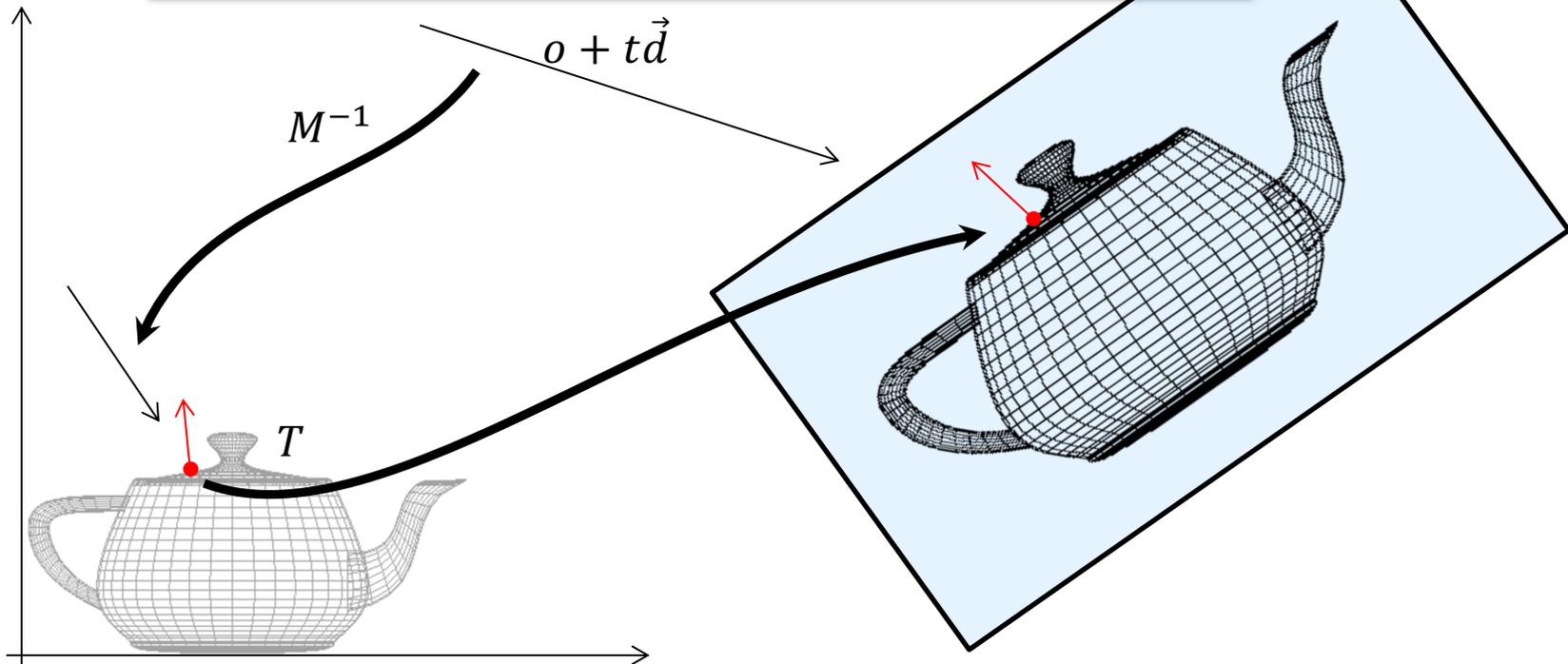
T



Ray-tracing Transformed Objects

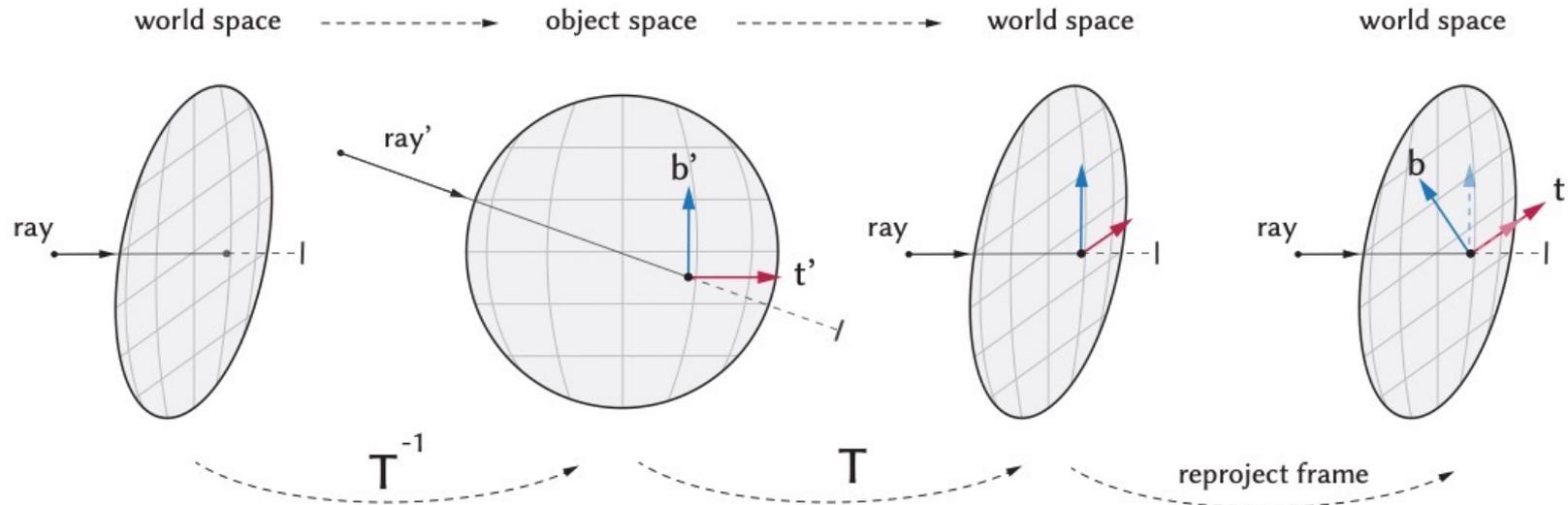
- Option 2: transform the ray

```
def intersect(obj,ray)
  Minv = obj.M.inverse()
  N = obj.M.normalTransform()
  local_ray = transform(ray,Minv)
  hit = obj.intersect(local_ray)
  global_hit.point = transform(hit.point,M)
  global_hit.normal = transform(hit.normal,N)
  return global_hit
```



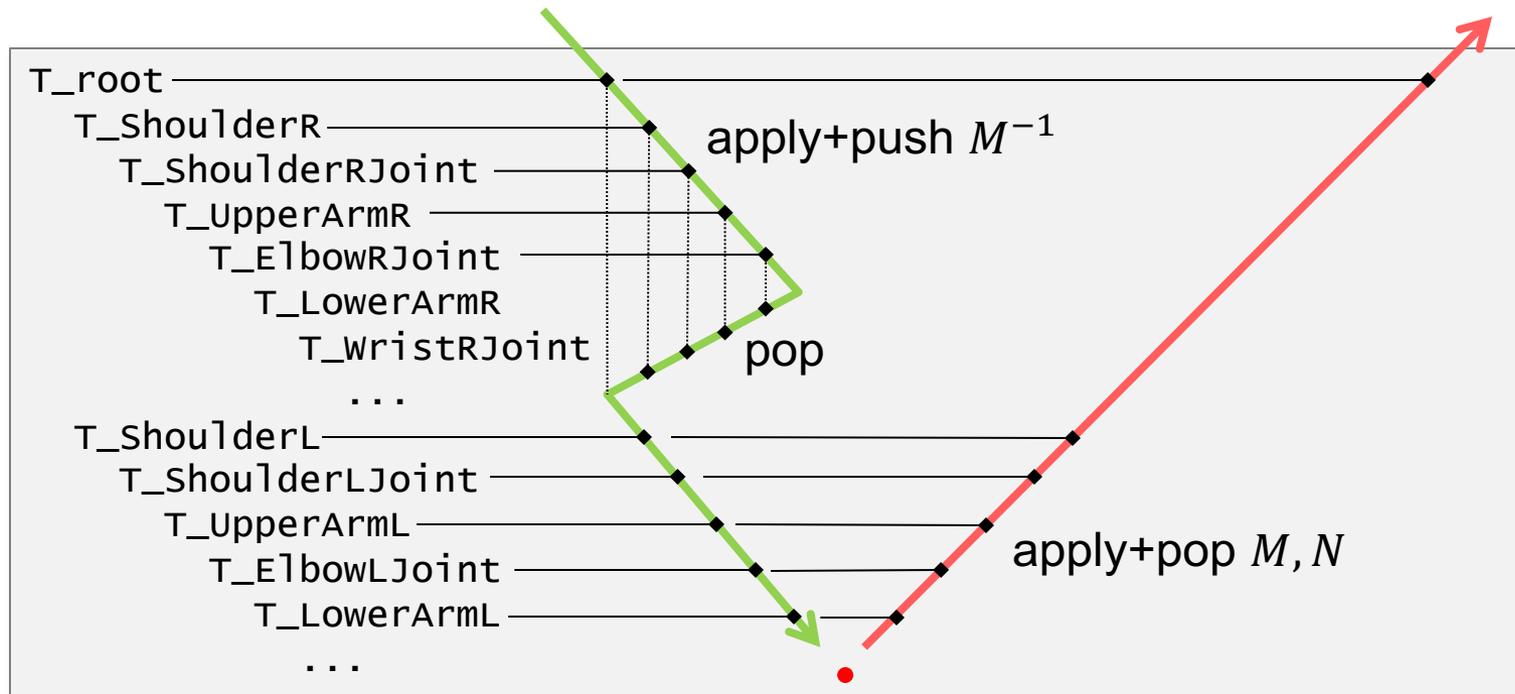
Transforming Tangents

- Transform ray by inverse and intersect object...



- ...then transform tangents back to world space
 - Bitangent might need to be adjusted to obtain orthonormal basis
 - Adjoint matrix not necessary, can compute normal from tangent and bitangent

Ray-tracing through a Hierarchy



Instancing



- T – set of triangles
 - local coordinates
 - memory
- M_i – transformation matrices
 - local-to-world
- Multiple rendered objects
 - Correct lighting, shadows, etc...
 - Never "materialized" in memory

