### **Computer Graphics**

- Material Models -

**Philipp Slusallek** 

### **REFLECTANCE PROPERTIES**

### **Appearance Samples**

#### How do materials reflect light?

- At the same point / in the neighborhood (subsurface scattering)



Anisotropic surfaces







Complex surface meso-structure





Lots of details: Fibers





 Photos of samples with light source at exactly the same position





### How to describe materials?

#### Surface roughness

- Cause of different reflection properties (often in combination):
  - Perfectly smooth: Mirror reflection
  - Slightly rough: Glossy highlights, approx. in direction of reflection
  - Very rough: Diffuse reflection, light reflected many times in material, looses directionality

#### Geometry

- Macro structure: Described as explicit geometry (e.g. triangles)
- Micro structure: Captured in scattering function (BRDF)
- Meso structure: Difficult to handle: integrate into BRDF (offline simulation), use geometry and simulate (online)
- Representation of reflection properties
  - Bidirectional reflection distribution function (BRDF)
    - For reflections at a single point (approx.)
  - More complex scattering functions (e.g. subsurface scattering)
- Goal: Relightable representation of appearance

### **Reflection Equation - Reflectance**

Reflection equation

$$L_o(x,\omega_o) = \int_{\Omega_+} f_r(\omega_i, x, \omega_o) L_i(x, \omega_i) \cos\theta_i d\omega_i$$

• BRDF Definition

- Ratio of reflected radiance to incident irradiance

$$f_r(\omega_i, x, \omega_o) = \frac{dL_o(x, \omega_o)}{dE_i(x, \omega_i)}$$
 Units:  $\left[\frac{1}{sr}\right]$ 

### BRDF

#### BRDF describes surface reflection

- for light incident from direction  $\omega_i = (\theta_i, \varphi_i)$
- observed from direction  $\boldsymbol{\omega}_{o} = (\boldsymbol{\theta}_{o}, \boldsymbol{\varphi}_{o})$

#### Bidirectional

- Depends on 2 directions  $\omega_i$ ,  $\omega_o$  and position *x* (a 6-D function)

$$f_r(\omega_i, x, \omega_o) = \frac{dL_o(x, \omega_o)}{dE_i(x, \omega_i)} = \frac{dL_o(x, \omega_o)}{L_i(x, \omega_i)\cos\theta_i d\omega_i}$$



### **BRDF** Properties

#### Helmholtz reciprocity principle

- BRDF remains unchanged if incident and reflected directions are interchanged
- Due to physical principle of time reversal

$$f_r(\omega_i, \omega_o) = f_r(\omega_o, \omega_i)$$

- No surface structure: Isotropic BRDF
  - Reflectivity independent of rotation around surface normal
  - BRDF has only 3 instead of 4 directional degrees of freedom

$$f_r(x, \theta_i, \theta_o, \varphi_o - \varphi_i)$$



### **BRDF** Properties

#### Characteristics

- BRDF units
  - Inverse steradian:  $sr^{-1}$  (not really intuitive)
- Range of values: distribution function is positive, can be infinite
  - From 0 (no reflection in that direction)
  - to  $\infty$  (perfect reflection into exactly one direction,  $\delta$ -function)
- Energy conservation law
  - Absorption physically unavoidable, no self-emission
  - Integral of  $f_r$  over *outgoing* directions integrates to less than one
    - For any incoming direction

$$\int_{\Omega_{+}} f_{r}(\omega_{i}, x, \omega_{o}) \cos\theta_{o} d\omega_{o} \leq 1, \qquad \forall \omega_{i}$$

- Reflection only at the point of entry  $(x_i = x_o)$ 
  - Ignoring subsurface scattering (SSS)

### **Standardized Gloss Model**

#### Industry often uses only a subset of BRDF values

 Reflection only measured at discrete set of angles in plane of incidence



### Reflection on an Opaque Surface

#### • BRDF is often shown as a slice of the 6D function

- Given point x and given incident direction  $\omega_i$ 
  - Show 3D polar plot (intensity as length of vector from origin)
- Often consists of some mostly diffuse component (here small)
  - and a somewhat glossy component (here rather large)



### **Reflection on an Opaque Surface**

- 2D plot varies with incident direction
  - (and possibly location)



# Homog. & Isotropic BRDF – 3D

- Invariant with respect to rotation about the normal
  - Homogeneous and isotropic across surface
  - Only depends on azimuth difference to incoming angle

$$f_r((\theta_i, \varphi_i) \to (\theta_o, \varphi_o)) \Longrightarrow$$
$$f_r(\theta_i \to \theta_o, (\varphi_i - \varphi_o)) = f_r(\theta_i \to \theta_o, \Delta \varphi)$$



### Homogeneous BRDF – 4D

- Homogeneous bidirectional reflectance
  distribution function
  - Ratio of reflected radiance to incident irradiance
  - Independent of position

$$f_r(\omega_i \to \omega_o) = \frac{dL_o(\omega_o)}{dE_i(\omega_i)}$$



# Spatially Varying BRDF – 6D

#### Heterogeneous materials (standard model for BRDF)

- Dependent on position, and two directions
- Reflection at the point of incidence

$$f_r(x, \omega_i \to \omega_o)$$



### Homogeneous BSSRDF – 6D

- Homogeneous bidirectional scattering surface
  reflectance distribution function
  - Assumes a homogeneous and flat surface
  - Only depends on the difference vector to the outgoing point



### BSSRDF – 8D

 Bidirectional scattering surface reflectance distribution function

 $f_r((x_i, \omega_i) \to (x_o, \omega_o))$ 



### Generalization – 9D

#### Generalizations

- Add wavelength dependence

 $f_r(\lambda, (x_i, \omega_i) \to (x_o, \omega_o))$ 



### Generalization – 10D

#### Generalizations

- Add wavelength dependence
- Add fluorescence
  - · Change to longer wavelength during scattering



 $f_r((x_i, \omega_i, \lambda_i) \to (x_o, \omega_o, \lambda_o))$ 

### Generalization – 11D

#### Generalizations

- Add wavelength dependence
- Add fluorescence (change to longer wavelength for reflection)
- Time varying surface characteristics



 $f_r(t, (x_i, \omega_i, \lambda_i) \to (x_o, \omega_o, \lambda_o))$ 

### Generalization – 12D

#### Generalizations

- Add wavelength dependence
- Add fluorescence (change to longer wavelength for reflection)
- Time varying surface characteristics
- Phosphorescence
  - Temporal storage of light



### Reflectance

#### Reflectance may vary with

- Illumination angle
- Viewing angle
- Wavelength
- (Polarization, ...)

### Variations due to

- Absorption
- Surface micro-geometry
- Index of refraction / dielectric constant
- Scattering

Grazing angle rays





### **BRDF** Measurement

Gonio-Reflectometer

#### BRDF measurement

- Point light source position ( $\theta_i, \varphi_i$ )
- Light detector position ( $\theta_o, \varphi_o$ )
- 4 directional degrees of freedom

### BRDF representation

- *m* incident direction samples
- *n* outgoing direction samples
- *m\*n* reflectance values (large!!!)
- Additional position dependent (6D)





Stanford light gantry

### Rendering from Measured BRDF

- Linearity, superposition principle
  - Continuous illumin.: integrating light distribution against BRDF
  - Sampled illumination: superimposing many point light sources

#### Interpolation

- Look-up of BRDF values during rendering
- Sampled BRDF must be filtered

### BRDF Modeling

- Fitting of parameterized BRDF models to measured data
  - Continuous, analytic function
  - No interpolation
  - Typically fast evaluation

#### Representation in a basis

- Often: Spherical harmonics (ortho-normal basis on sphere)
  - Or BTFs (bidirectional texture function)
- Mathematically elegant filtering, illumination-BRDF integration

**Spherical Harmonics** Red is positive, green negative [Wikipedia]



# **BRDF Modeling**

#### Phenomenological approach (not physically correct)

- Description of visual surface appearance
- Composition of different terms:

#### Ideal diffuse reflection +

- Lambert's law, interactions within material
- Matte surfaces

#### Ideal specular/mirror reflection +

- Reflection law, reflection on a planar surface
- Mirror surfaces

### Glossy reflection

- "Directional diffuse", reflection on surface that is somewhat rough
- Shiny surface
- Glossy highlights
- Sometimes incorrectly called "specular"



# **Reflection Geometry**

- Direction vectors (normalize):
  - N: Surface normal
  - I: Light source direction vector
  - V: Viewpoint direction vector
  - R(I): Reflection vector
    - $R(I) = -I + 2(I \cdot N)N$
  - H: Halfway vector
    - H = (I + V) / |I + V|



Tangential surface: local plane





### Ideal Specular (Mirror) Reflection

- Angle of reflectance equal to angle of incidence
- Reflected vector in a plane with incident ray and surface normal vector

$$R + I = 2 \cos \theta N = 2(I \cdot N)N \Longrightarrow$$
$$R(I) = -I + 2(I \cdot N)N$$



### Mirror BRDF

#### • Dirac Delta function $\delta(x)$

- $\delta(x)$ : zero everywhere except at x = 0
- Unit integral iff domain contains x = 0 (else zero)

$$f_{r,m}(\omega_i, x, \omega_o) = \rho_s(\theta_i) \frac{\delta(\cos\theta_i - \cos\theta_o)}{\cos\theta_i} \delta(\varphi_i - \varphi_o \pm \pi)$$
$$L_o(x, \omega_o) = \int_{\Omega_+} f_{r,m}(\omega_i, x, \omega_o) L_i(x, \omega_i) \cos\theta_i d\omega_i = \rho_s(\theta_o) L_i(x, \theta_o, \varphi_o \pm \pi)$$

#### • Specular reflectance $\rho_s$

- Ratio of reflected radiance in specular direction and incoming radiance
- Dimensionless quantity between 0 and 1

$$\rho_s(x,\theta_i) = \frac{L_o(x,\theta_o)}{L_i(x,\theta_o)}$$



### "Diffuse" Reflection

#### Theoretical explanation

- Multiple scattering within the material (at very short range)

### Experimental realization

- Pressed magnesium oxide powder (or foam/snow)
  - Random mixture of tiny, highly reflective surfaces
- Almost never valid at grazing angles of incidence
- Paint manufacturers attempt to create ideal diffuse paints



Highly reflective particles (e.g. magnesium oxide, plaster paper fibers)





Highly reflective/refractive foam-like materials

### **Diffuse Reflection Model**

- Light equally likely to be reflected in any output direction (independent of input direction, idealized)
- Constant BRDF

$$f_{r,d}(\omega_i, x, \omega_o) = k_d = const = \rho_d / \pi[sr]$$
 with  $\rho_r \in [0,1]$ 

$$L_o(x,\omega_o) = k_d \int_{\Omega_+} L_i(x,\omega_i) \cos \theta_i \, d\omega_i = k_d E = \frac{\rho_d}{\pi[sr]} E$$

-  $\rho_d$ : diffuse reflection coefficient, material property [1/sr]

For each point light source



### Lambertian Objects

Self-Iuminous spherical Lambertian light source

 $\Phi_0 \propto L_0 \cdot \Omega$ 



Eye-light illuminated spherical Lambertian reflector

 $\Phi_1 \propto L_{\rm i} \cdot \cos \theta \cdot \Omega$ 





# Lambertian Objects (?)

The Sun



- Some absorption in photosphere
- Path length through photosphere longer from the Sun's rim

The Moon



- Surface covered with fine dust
- Dust visible best from slanted viewing angle

 $\Rightarrow$  Neither the Sun nor the Moon are Lambertian

### **Glossy Reflection**

- Due to surface roughness
- Empirical models (phenomenological)
  - Phong
  - Blinn-Phong
- Physically-based models
  - Blinn
  - Cook & Torrance
- Sometimes incorrectly called "specular"





# Phong Glossy Reflection Model

• Simple experimental description: Cosine power lobe

 $f_r(\omega_i, x, \omega_o) = k_s (R(I) \cdot V)^{k_e} / I \cdot N$ 

- Take angle to reflection direction to some  $- L_{r,s} = L_i k_s \cos^{ke} \Theta_{RV}$
- Issues
  - Not energy conserving/reciprocal
  - Plastic-like appearance

#### Dot product & power

Still widely used in CG





# Phong Exponent k<sub>e</sub>

 $f_r(\omega_i, x, \omega_o) = k_s (R(I) \cdot V)^{k_e} / I \cdot N$ 

Determines size of highlight



• Beware: Non-zero contribution into the material !!! – Cosine is non-zero between -90 and 90 degrees

### **Blinn-Phong Glossy Reflection**

Same idea: Cosine power lobe

 $f_r(\omega_i, x, \omega_o) = k_s (H \cdot N)^{k_e} / I \cdot N$ 

- $L_{r,s} = L_i \, k_s \, \cos^{ke} \Theta_{HN}$
- Dot product & power
  - $\Theta_{RV} \rightarrow \Theta_{HN}$

- Special case: Light source, viewer far away

- I, R constant: H constant
- $\theta_{HN}$  less expensive to compute







# **Different Types of Illumination**

#### Three types of illumination



#### Ambient Illumination

- Global illumination is costly to compute
- Indirect illumination (through interreflections) is typically smooth
- $\rightarrow$  Approximate via a constant term  $L_{i,a}$  (incoming ambient illum)
- Has no incoming direction, provide ambient reflection term  $k_a$

$$L_o(x, \omega_o) = k_a L_{i,a}$$

# Full Phong Illumination Model

• Phong illumination model for *multiple* point light sources

$$L_r = k_a L_{i,a} + k_d \sum_l L_l (I_l \cdot N) + k_s \sum_l L_l (R(I_l) \cdot V)^{k_e} (Phong)$$
$$L_r = k_a L_{i,a} + k_d \sum_l L_l (I_l \cdot N) + k_s \sum_l L_l (H_l \cdot N)^{k_e} (Blinn)$$

- Diffuse reflection (contribution only depends on incoming cosine)
- Ambient and Glossy reflection (Phong or Blinn-Phong)
- Typically: Color of specular reflection k<sub>s</sub> is white
  - Often separate specular and diffuse color (common extension, OGL)
- Empirical model!
  - Contradicts physics
  - Purely local illumination
    - Only direct light from the light sources + constant ambient term
- Optimization: Lights & viewer assumed to be far away



# Microfacet BRDF Model

#### Physically-Inspired Models

- Isotropic microfacet collection
- Microfacets assumed as perfectly smooth reflectors

#### • BRDF

- Distribution of microfacets
  - Often probabilistic distribution of orientation or V-groove assumption
- Planar reflection properties
- Self-masking, shadowing



### Ward Reflection Model

• BRDF

$$f_r = \frac{\rho_d}{\pi} + \frac{\rho_s}{\sqrt{(I \cdot N)(V \cdot N)}} \frac{\exp\left(-\frac{tan^2 \angle H, N}{\sigma^2}\right)}{4\pi\sigma^2}$$

- $-\sigma$  standard deviation (RMS) of surface slope
- Simple expansion to anisotropic model ( $\sigma_x$ ,  $\sigma_y$ )
- Empirical, not physics-based

#### Inspired by notion of reflecting microfacets

- Convincing results
- Good match to measured data



### **Cook-Torrance Reflection Model**

#### Cook-Torrance reflectance model

- Is based on the microfacet model
- BRDF is defined as the sum of a diffuse and a glossy component:

$$f_r = \kappa_d \rho_d + \kappa_g \rho_g; \quad \rho_d + \rho_g \le 1$$

where  $\rho_g$  and  $\rho_d$  are the glossy and diffuse coefficients.

- Derivation of the glossy component  $\kappa_g$  is based on a physically derived theoretical reflectance model
- (The original paper talks about "specular" instead of "glossy" as the glossy reflection originates from averaging the specular reflections of many microfacets)

### **Cook-Torrance Specular Term**

$$\kappa_s = \frac{F_{\lambda} DG}{\pi (N \cdot V) (N \cdot I)}$$



• D : Distribution function of microfacet orientations

#### G : Geometrical attenuation factor

- represents self-masking and shadowing effects of microfacets
- $F_{\lambda}$  : Fresnel term
  - computed by Fresnel equation
  - Fraction of specularly reflected light for each planar microfacet
- N-V : Proportional to visible surface area
- N-I : Proportional to illuminated surface area

# Electric Conductors (e.g. Metals)

- Assume ideally smooth surface
- Perfect specular reflection of light, rest is absorbed
- Reflectance is defined by Fresnel formula based on:
  - Index of refraction  $\eta$
  - Absorption coefficient  $\kappa$
  - Both wavelength dependent

Object	η	k
Gold	0.370	2.820
Silver	0.177	3.638
Copper	0.617	2.63
Steel	2.485	3.433

#### Given for parallel and perpendicular polarized light

$$r_{\parallel}^{2} = \frac{(\eta^{2} + k^{2})\cos\theta_{i}^{2} - 2\eta\cos\theta_{i} + 1}{(\eta^{2} + k^{2})\cos\theta_{i}^{2} + 2\eta\cos\theta_{i} + 1}$$
$$r_{\perp}^{2} = \frac{(\eta^{2} + k^{2}) - 2\eta\cos\theta_{i} + \cos\theta_{i}^{2}}{(\eta^{2} + k^{2}) + 2\eta\cos\theta_{i} + \cos\theta_{i}^{2}}.$$



 $- \theta_i$ ,  $\theta_t$ : Angle between ray & plane, incident & transmitted

For unpolarized light:

$$F_{\rm r} = \frac{1}{2}(r_{\parallel}^2 + r_{\perp}^2)$$

# Dielectrics (e.g. Glass)

- Assume ideally smooth surface
- Non-reflected light is perfectly transmitted: 1 F<sub>r</sub>
  - They do not conduct electricity
- Fresnel formula depends on:
  - Refr. index: speed of light in vacuum vs. medium
  - Refractive index in incident medium  $\eta_i = c_0 / c_i$
  - Refractive index in transmitted medium  $\eta_t = c_0 / c_t$

#### Given for parallel and perpendicular polarized light

$$r_{\parallel} = \frac{\eta_{t} \cos \theta_{i} - \eta_{i} \cos \theta_{t}}{\eta_{t} \cos \theta_{i} + \eta_{i} \cos \theta_{t}}$$
$$r_{\perp} = \frac{\eta_{i} \cos \theta_{i} - \eta_{t} \cos \theta_{t}}{\eta_{i} \cos \theta_{i} + \eta_{t} \cos \theta_{t}},$$

• For unpolarized light:

Medium	Index of refraction $\eta$
Vacuum	1.0
Air at sea level	1.00029
Ice	1.31
Water (20° C)	1.333
Fused quartz	1.46
Glass	1.5–1.6
Sapphire	1.77
Diamond	2.42

$$F_{\rm r} = \frac{1}{2}(r_{\parallel}^2 + r_{\perp}^2)$$



### **Microfacet Distribution Functions**

- Isotropic Distributions  $D(\omega) \Rightarrow D(\alpha) \quad \alpha = \angle N, H$ 
  - $-\alpha$  : angle to average normal of surface
  - -m: average slope of the microfacets
- Blinn:  $D(\alpha) = cos^{\frac{\ln 2}{ls \cos m_{\alpha}}}$
- Torrance-Sparrow  $D(\alpha) = e^{-\left(\frac{\alpha}{m}\right)^2}$ – Gaussian
- Beckmann

$$D(\alpha) = \frac{1}{\pi m^2 \cos^4 \alpha} e^{-(\frac{\tan \alpha}{m})^2}$$

- Used by Cook-Torrance

### **Beckman Microfacet Distribution**



### **Geometric Attenuation Factor**

- V-shaped grooves
- Fully illuminated and visible

G = 1

• Partial masking of reflected light

 $G = \frac{2(\underline{N} \cdot \underline{H})(\underline{N} \cdot \underline{V})}{(\underline{V} \cdot \underline{H})}$ 

Partial shadowing of incident light

$$G = \frac{2(\underline{N} \cdot \underline{H})(\underline{N} \cdot \underline{I})}{(\underline{V} \cdot \underline{H})}$$

• Final

$$G = min\left\{1, \frac{2(\underline{N} \cdot \underline{H})(\underline{N} \cdot \underline{V})}{(\underline{V} \cdot \underline{H})}, \frac{2(\underline{N} \cdot \underline{H})(\underline{N} \cdot \underline{I})}{(\underline{V} \cdot \underline{H})}\right\}$$



### **Comparison Phong vs. Torrance**

#### Phong:



(a)

#### Torrance:





(b)



### SHADING

# What is Shading?

- Shading
  - Computation of reflected light (radiance) at every pixel
  - In ray tracing typically computed at every hit point
  - In rasterization computed per triangle/vertices/pixel

#### What is required for shading

- Position of shaded point
- Position of viewpoint
- Position of light source and its description/parameters
- Surface normal / local coordinate frame at shaded point
- Reflectance model (BRDF)

# Flat Shading Model

#### Most simple: Constant Shading

- Fixed color per polygon/triangle

#### Shading Model: Flat Shading

- Single per-surface normal
- Single color per polygon
- Evaluated at one of the vertices ( $\rightarrow$  OpenGL) or at center



# **Gouraud Shading Model**

#### Shading Model: Gouraud Shading

- Per-vertex normal
  - Can be computed from adjacent triangle normals (e.g. by averaging)
- Linear interpolation of the shaded colors
  - · Computed at all vertices and interpolated
- Often results in shading artifacts along edges
  - Mach Banding (i.e. discontinuous 1st derivative)
  - Flickering of highlights (when one of the normal generates strong reflection)





[wikipedia]

# **Phong Shading Model**

#### Shading Model: Phong Shading

- Linear interpolation of the surface normal
- Shading is evaluated at every point separately
- Smoother but still off due to hit point offset from apparent surface



### **Problems with Interpolated Shading**

#### Issues

- Polygonal silhouette may not match the smooth shading
- Perspective distortion
  - Interpolation in 2-D screen space rather than world space (==> later)
- Orientation dependence
  - Only for polygons
  - Not with triangles (here linear interpolation is rotation-invariant)
- Shading discontinuities at shared vertices (T-edges)
- Non-representative normal vectors



### Occlusions

#### The point on the surface might be in shadow

- Rasterization (OpenGL):
  - Not easily done
  - Can use shadow map or shadow volumes (→ later)
- Ray tracing
  - Simply trace ray to light source and test for occlusion



# Area Light sources

#### Typically approximated by sampling

- Replacing it with some point light sources
  - Often randomly sampled
  - Cosine distribution of power over angular directions at light source

